# THE AVERAGE NUMBER OF RATIONAL POINTS ON ODD GENUS TWO CURVES IS **BOUNDED**

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ABSTRACT. We prove that, when genus two curves  $C/\mathbb{Q}$  with a marked Weierstrass point are ordered by height, the average number of rational points  $\#|C(\mathbb{Q})|$  is bounded.

As a byproduct of the argument we prove that the number of rational points  $P \in C(K)$  on a smooth projective curve of genus g>1 over a number field K with  $h(P)\gg_g h(C)$  (with h(C) the height of the equations of C when tricanonically embedded) is  $\ll 1.872^{\mathrm{rank}(\mathrm{Jac}(C)(K))}$ , and that the base may be reduced to 1.311 once g is larger than an explicit constant. (We note that when  $K=\mathbb{Q}$  and g=2 the implicit constant may be taken to be 8.) Our arguments in the small-height and large-height regimes extend to general genera  $g \ge 2$ , though for medium-height points we need to use explicit knowledge of non-Archimedean height differences, as well as explicit knowledge of the Jacobians and Kummer surfaces of our curves.

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2010 Mathematics Subject Classification. 11D45 (primary), 11G30, 14G05 (secondary). Key words and phrases. rational points, genus two curves, Mumford gap principle, Faltings' theorem.

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### 1. Introduction

In Book VI of his *Arithmetica*, which, incidentally, was only discovered in 1968 (in Arabic translation in a shrine in Iran by Fuat Sezgin), Diophantus [17] poses the problem of finding a nontrivial rational point on the curve  $C: y^2 = x^6 + x^2 + 1$ . He finds the point  $(\frac{1}{2}, \frac{9}{8})$ . This gives eight 'obvious' points:  $(\pm \frac{1}{2}, \pm \frac{9}{8})$ ,  $(0, \pm 1)$ , and the two points at infinity. One is of course led to ask whether there are any nonobvious rational points on this curve. In 1998, some 1700 years after Diophantus, Wetherell (in his PhD dissertation [23]) answered this question: Diophantus had in fact found all the rational points on C! The recency of this result should serve as an indication of our relative ignorance of the arithmetic of genus 2 curves as compared to that of elliptic curves.

In this paper we study rational points on genus 2 curves, on average. Faltings' theorem tells us that the set of rational points on such a (smooth projective) curve is finite. How finite?

Let  $f \in \mathbb{Z}[x]$  be a monic quintic polynomial of the form  $f(x) =: x^5 + a_2 x^3 + \cdots + a_5$  with nonvanishing discriminant  $\Delta_f \neq 0$ . Let  $H(f) := \max_i |a_i|^{\frac{1}{i}}$  be the naïve height of f. Let  $C_f : y^2 = f(x)$ . This gives a family  $\mathcal{F}_{\text{universal}}$  of (odd-degree) genus 2 curves over  $\mathbb{Q}$  with a marked Weierstrass point (the point at infinity), which we order by height. Given a family of curves  $\mathcal{F}$  and a function f on this family, we write

$$\operatorname{Avg}_{\mathcal{F} \leq T}(f) := \frac{\sum_{C \in \mathcal{F}: H(C) \leq T} f(C)}{\sum_{C \in \mathcal{F}: H(C) \leq T} 1}.$$

By a statement like  $\operatorname{Avg}_{\mathcal{F}}(f) \leq B$  we will mean  $\limsup_{T \to \infty} \operatorname{Avg}_{\mathcal{F}^{\leq T}}(f) \leq B$ . Thus for example Bhargava-Gross [2] have proved that  $\operatorname{Avg}_{\mathcal{F}_{\operatorname{universal}}}(2^{\operatorname{rank}(\operatorname{Jac}C)}) \leq 3$ . Now recall that Faltings tells us that  $\#|C_f(\mathbb{Q})| < \infty$  for all  $C_f \in \mathcal{F}_{\operatorname{universal}}$ . It is a famous open

Now recall that Faltings tells us that  $\#|C_f(\mathbb{Q})| < \infty$  for all  $C_f \in \mathcal{F}_{universal}$ . It is a famous open problem to determine whether or not these point counts are uniformly bounded in  $L^\infty$ . We make no progress on this question, but we do prove the much weaker statement that the point counts are uniformly bounded in  $L^1$ . (In fact the argument gives bounds in  $L^p$  for p slightly larger than 1 as well.)

## Theorem 1.

$$\operatorname{Avg}_{\mathcal{F}_{\text{universal}}}(\#|C(\mathbb{Q})|) < \infty.$$

We are certain that the limiting distribution of  $\#|C(\mathbb{Q})|$  should just be  $\delta_1$  — i.e., the probability of having anything more than the point at infinity should be 0. Thus we expect the average to be 1, but proving this is certainly far out of our reach.

 $<sup>^1</sup>$ Conversely, given a genus 2 curve C with a marked Weierstrass point  $P \in C(\mathbb{Q})$ , via Riemann-Roch, there is a nonconstant  $f \in \mathcal{O}(2P)$  over  $\mathbb{Q}$ , which realizes C as a a degree-two ramified covering of  $\mathbb{P}^1$ , ramified (by Riemann-Hurwitz) over six points (including  $\infty$ , the image of P). Such a thing is precisely a quintic polynomial, and, on translating and scaling, we get a quintic of the considered form — even with integral coefficients since the ramification set is Galois-invariant.

 $<sup>^2</sup>$ We note here that we expect all the arguments of the paper to go through without much trouble for the family  $y^2 = x^6 + a_2x^4 + \cdots + a_6$  of genus two curves with a marked rational *non*-Weierstrass point. We have not dealt with this case here due to the lack of a compelling reason to face the significantly more complicated formulas that arise — granted, the complication is at least balanced by much better lower bounds on the height of a non-small point in the Kummer surface. The general case,  $y^2 = a_0x^6 + \cdots + a_6$ , does not have a corresponding 2-Selmer average bound for the Jacobian itself, and anyway even the height on the Kummer surface would be extremely complicated to deal with, since one would have to work with the Abel-Jacobi map associated to a rational point potentially not at ∞. (One could question whether this ordering of curves is even worth dealing with once one has the monic sextic case in hand.)

### 2. ACKNOWLEDGMENTS

I would like to thank Manjul Bhargava, Peter Sarnak, Michael Stoll, Jacob Tsimerman, and especially Steffen Müller for enlightening conversations. This research was supported by the NSF GRFP.

### 3. NOTATION, PREVIOUS RESULTS, AND OUTLINE OF THE ARGUMENT

3.1. **Notation.** We write  $f \ll_{\theta} g$  to mean that there is some  $C_{\theta} > 0$  depending only on  $\theta$  such that  $|f| \leq C_{\theta}|g|$  pointwise. Thus  $\ll$  and  $\gg$ , sans decoration, imply the same inequalities with absolute constants. By  $f \asymp_{\theta} g$  we will mean  $g \ll_{\theta} f \ll_{\theta} g$ . By  $O_{\theta}(g)$  we will mean a quantity that is  $\ll_{\theta} g$ , and by  $\Omega_{\theta}(g)$  we will mean a quantity that is  $\gg_{\theta} g$ . By o(1) we will mean a quantity that approaches 0 in the relevant limit (which will always be unambiguous). By f = o(g) we will mean  $f = o(1) \cdot g$ . We will write (a,b) for the greatest common divisor of the integers  $a,b \in \mathbb{Z}$ ,  $\omega(n)$  for the number of prime factors of  $n \in \mathbb{Z}$ ,  $v_p$  for the p-adic valuation,  $|\cdot|_v$  for the absolute value at a place v of a number field K (normalized so that the product formula holds), and h(x) for the absolute Weil height of  $x \in \overline{\mathbb{Q}}$  — i.e., if  $x \in K$ ,

$$h(x) := \sum_{w} \frac{[K_w : \mathbb{Q}_v]}{[K : \mathbb{Q}]} \log^+ |x|_w,$$

the sum taken over places w of the number field K, with  $v:=w|_{\mathbb{Q}}$  the place of  $\mathbb{Q}$  over which w lies and  $\log^+(a):=\max(0,\log a).^3$  We will also write  $H(x):=\exp(h(x))$  for the multiplicative Weil height, so that  $H\left(\frac{a}{b}\right)=\max(|a|,|b|)$  if  $\frac{a}{b}\in\mathbb{Q}$  is in lowest terms. For a rational point  $P\in C(\mathbb{Q})$ , we will write h(P) and H(P) for h(x(P)) and H(x(P)), respectively. We will write  $J_f:=\operatorname{Jac}(C_f)$  for the Jacobian of the curve  $C_f$ , with embedding  $C_f\hookrightarrow J_f$  determined by the Abel-Jacobi map associated to the point at infinity (whence  $J_f$  and the map  $C_f\hookrightarrow J_f$  are both defined over  $\mathbb{Q}$ ). We will also write  $K_f:=J_f/\{\pm 1\}$  for the associated Kummer variety, the key player in this paper. We will use an explicit embedding  $K_f\hookrightarrow \mathbb{P}^3$  of Cassels-Flynn [5] to furnish a height  $h_K$  on  $K_f(\overline{\mathbb{Q}})$  by pulling back the standard logarithmic Weil height on  $\mathbb{P}^3$  via the embedding. (The height used on  $\mathbb{P}^n$  is

$$h_{\mathbb{P}^n}([x_0,\ldots,x_n]) := \sum_w \frac{[K_w:\mathbb{Q}_v]}{[K:\mathbb{Q}]} \max_{0 \le i \le n} \log^+ |x_i|_w,$$

which is well-defined by the product formula.)

$$\hat{h}(P) := \lim_{n \to \infty} \frac{h_K(2^n P)}{4^n}$$

will denote the canonical height of a point  $P \in K_f(\overline{\mathbb{Q}})$ , and we will write

$$\lambda_w(P) := \frac{[K_w : \mathbb{Q}_v]}{[K : \mathbb{Q}]} \max_{1 \le i \le 4} \log^+ |P_i|_w$$

and

$$\hat{\lambda}_w(P) := \lim_{n \to \infty} \frac{\lambda_w(2^n P)}{4^n},$$

so that  $h = \sum_w \lambda_w$  and  $\hat{h} = \sum_w \hat{\lambda}_w$ . Note that, as defined, both  $\lambda_w$  and  $\hat{\lambda}_w$  depend on the choice of coordinates  $P \mapsto P_i$ , and thus are only functions on the cone on  $K_f$  in  $\mathbb{A}^4$  (usually the notation  $\hat{\lambda}_w$  is reserved for the local Néron functions). By  $\Delta$  or  $\Delta_f$  we will mean  $\Delta_f := 2^8 \mathrm{disc}(f)$ , where  $\mathrm{disc}(f)$  is the discriminant of f considered as a polynomial in x (i.e., the resultant of f and f').

 $<sup>^3</sup>$ To be explicit about our normalization, as usual  $|p|_p:=p^{-1}$  and we will take  $|\cdot|_\infty$  to be the standard absolute value, and then  $|\cdot|_w:=|\mathrm{Nm}_{L_w/K_v}(\cdot)|_v^{\frac{1}{[L_w:K_v)}}$  for L/K an extension of number fields and  $v:=w|_K$ .

In general logarithmic heights will be in lower case, and their exponentials in upper case (much the same way as our  $H = \exp(h)$  on  $\overline{\mathbb{Q}}$ ).

Finally, in general we will use the notation  $(\in d\mathbb{Z})$  to indicate an element of  $d\mathbb{Z}$  in an expression — e.g.,  $a = \frac{b + (\in c\mathbb{Z})}{b' + (\in c'\mathbb{Z})}$  is equivalent to  $a = \frac{d}{d'}$  with  $d \equiv b \pmod{c}$  and  $d' \equiv b' \pmod{c'}$ .

3.2. **Previous results.** The fundamental finiteness theorem that allows us to even begin asking about  $L^1$  averages is Faltings' [6]:

**Theorem 2** (Faltings). Let K be a global field and C/K a smooth projective curve over K. Then:

$$\#|C(K)| < \infty.$$

Unfortunately this theorem is still ineffective, in the sense that it does not give a bound on the heights of rational points on such curves. The analogous situation for integral points on elliptic curves, where the relevant finiteness theorem is Siegel's, differs in this respect due to work of Baker. In any case, Faltings' proof(s), as well as Vojta's and Bombieri's (following Vojta's), all give bounds on the *number* of rational points on these curves. To the author's knowledge, the best general bound is provided by the following theorem, which we state here in the case of curves of the form  $y^2 = f(x)$  with  $\deg f$  odd<sup>4</sup> (and which I have attributed to Bombieri-Vojta, with the determination of the dependence of the implicit constants on the curve done by Bombieri-Granville-Pintz):

**Theorem 3** (Bombieri-Vojta, Bombieri-Granville-Pintz). Let  $\delta > 0$ . Let  $f \in \mathbb{Z}[x]$  be a monic polynomial of degree  $2g + 1 \geq 5$  with no repeated roots, and let  $C_f : y^2 = f(x)$ . Let  $\alpha \in \left(\frac{1}{\sqrt{g}}, 1\right)$ . Let  $P \neq Q \in C_f(\mathbb{Q})$  be such that  $\hat{h}(P) \geq \delta^{-1}\hat{h}(Q) \geq \delta^{-2}h(f)$ . Then, once  $\delta \ll_{\alpha} 1$ , we have that:

$$\cos \theta_{P,Q} \le \alpha$$
.

That this does in fact imply Faltings' theorem is quickly seen on remarking that this forces rational points to either be of bounded height, or in a finite (finite because  $C_f(\mathbb{Q}) \otimes_{\mathbb{Z}} \mathbb{R}$  is finite-dimensional, by Mordell-Weil) number of cones emanating from the origin. Within each such cone, all points must again be of bounded height, QED. Note that this still does not give a bound on the heights of the points, since the height bound within each cone depends on a chosen rational point in the cone (if one exists — if one doesn't we are also done!), and a priori one does not know any upper bound on its height.

In any case, this theorem does imply a very good bound on the number of large-height points on  $C_f$ , via the Kabatiansky-Levenshtein bound and the Mumford gap principle. Specifically, we obtain that the number of large-height points is  $\ll 1.872^{\mathrm{rank}(J_f(\mathbb{Q}))}$ , where  $J_f$  is the Jacobian of  $C_f$  (with Abel-Jacobi map corresponding to the point at infinity) — see Lemma 47. This is the observation that makes plausible an attempt to prove  $L^1$  boundedness given the current state of the art in average Selmer bounds.

Indeed, this bound on the number of large points is enough for us because of the following theorem of Bhargava-Gross [2] (combined with  $2^{\operatorname{rank}(J_f(\mathbb{Q}))} \leq \#|\operatorname{Sel}_2(J_f)|$ ):

Theorem 4 (Bhargava-Gross).

$$\mathop{\rm Avg}_{\mathcal{F}_{\rm universal}} \# |{\rm Sel}_2({\rm Jac}(C))| = 3.$$

<sup>&</sup>lt;sup>4</sup>This hypothesis is absolutely not essential. The evident generalization to curves of genus  $g \ge 2$  is the one they actually prove — I have just written the special case of odd-degree hyperelliptic curves for convenience.

<sup>&</sup>lt;sup>5</sup>In fact we get a stronger bound than this, for all genera — see Proposition 49.

In fact Bhargava-Gross [2] (for odd degrees) and Shankar-Wang [18] (for even degrees) proved the same theorem (for even degrees the average is 6, since now there are two points at infinity) for any d — that is, for the family  $\mathcal{F}_{\text{universal},\deg=d}$  of fixed degree  $d \geq 5$  monic polynomials  $f(x) = x^d + a_2 x^{d-2} + \cdots \in \mathbb{Z}[x]$ , again ordered by height (with  $H(f) := \max_i |a_i|^{\frac{1}{i}}$ , as above). Since the average is bounded independently of the genus, it follows that the proportion of hyperelliptic curves whose Jacobian has Mordell-Weil rank  $\geq g$  is exponentially small in g. Thus the method of Chabauty-Coleman applies to all but an exponentially small proportion of these curves! Pushing this much, much further, Poonen-Stoll [15] (for odd degrees) and Shankar-Wang [18] (for even degrees) proved:

**Theorem 5** (Poonen-Stoll, Shankar-Wang). Let  $g \ge 2$  and d = 2g + 1 or 2g + 2. Then:

$$\operatorname{Prob}_{\mathcal{F}_{\text{universal,deg}=d}} \left( C(\mathbb{Q}) \neq \{ \infty^{\pm} \} \right) \ll g 2^{-g}.$$

Thus we have very strong bounds in  $L^0$  — improving exponentially quickly with the genus — in all degrees.

Let us now outline the argument.

3.3. **Outline of the argument.** Throughout  $\delta>0$  will be a (small, but still  $\gg 1$ ) parameter, eventually taken to be  $\ll 1$ —e.g.,  $\delta=10^{-10^{10}}$  will work (certainly nothing remotely this small is actually necessary, of course).

Just as in the case of integral points on elliptic curves, we break rational points on these curves into three types: small, medium, and large points. By "large", we will mean that a point satisfies the lower bound of the Bombieri-Granville-Pintz refinement of Bombieri-Vojta [4] — that is, for  $P \in C_f(\mathbb{Q})$ , it will mean that  $h(P) > \delta^{-1}h(f)$ . The large points are bounded by an appeal to the Bombieri-Vojta proof of Faltings, which after some tricks with the Mumford gap principle gives us a bound of  $\ll 1.872^{\mathrm{rank}(J_f(\mathbb{Q}))}$ . We note for now and for the rest of the paper that any bound below  $2^{\mathrm{rank}(J_f(\mathbb{Q}))}$  is enough to imply the theorem, since  $2^{\mathrm{rank}(J_f(\mathbb{Q}))} \le \#|\mathrm{Sel}_2(J_f)|$ , and the latter has bounded average, by Bhargava-Gross.

Thus the problem is reduced to the small and medium points. Next easiest to handle are the small points, which, in much the same way as the case of integral points on elliptic curves, we handle by switching the order of summation — i.e. (roughly), counting how many *curves* a given point can lie on, and then summing over possible points. Here we use a technique which might be called 'attraction' — for example, if  $x^5+a$  and  $x^5+b$  are squares, say  $y^2$  and  $y'^2$ , respectively (with  $y \neq y' > 0$ ), and  $x \in \mathbb{Z}$  satisfies  $|x| \geq \delta^{-1} \max(|a|,|b|)^{\frac{1}{5}}$ , it follows that  $1 \leq |y-y'| = \frac{|a-b|}{y+y'} \ll x^{\frac{5}{2}}$ , whence there are  $O(x^{\frac{5}{2}})$  many c's such that  $x^5+c$  is a square and  $|c| \ll \delta x^5$ . (This is, of course, evident by other means, namely e.g. counting the square roots.) This sort of argument, along with some congruences that must be satisfied modulo powers of the denominator of our point (since we must deal with rational points), will be applied coefficient-by-coefficient to get a good bound on small points.

Finally, what remain are the medium points. In the case of integral points on elliptic curves, we used an explicit gap principle due to Helfgott and Silverman, which we described as an analogue of the Mumford gap principle on higher-genus curves. Here we will use the Mumford gap principle, except of course we must be very precise with the dependence of the error terms on the curve (which is always the difficulty in these sorts of explicit questions).

Thankfully, due to work of Cassels and Flynn, given a curve of the form  $C_f$ , we get explicit embeddings  $J_f \hookrightarrow \mathbb{P}^{15}$  and  $K_f \hookrightarrow \mathbb{P}^3$ , where  $J_f := \operatorname{Jac}(C_f)$  as usual and  $K_f := J_f/\{\pm 1\}$  again. Moreover we get an explicit multiplication-by-2 map  $2:K_f \to K_f$  as well as an explicit addition law  $J_f \times J_f \to J_f$ . Finally the Abel-Jacobi map  $C_f \hookrightarrow J_f$  corresponding to the point at infinity is extremely simple (the composition with  $J_f \twoheadrightarrow K_f \hookrightarrow \mathbb{P}^3$  factors through a Veronese embedding

<sup>&</sup>lt;sup>6</sup>Here, as with the use of Avg in Theorem 1, there is an implicit lim sup in the statement.

 $C_f \to \mathbb{P}^1 \hookrightarrow \mathbb{P}^2 \subseteq \mathbb{P}^3$ ). All this makes the explicit analysis of the *naïve* height of the sum (and difference) of two points quite possible.

Next we use work of Stoll [19, 20] to transfer the bounds we get to bounds on the *canonical* height. We will need to take a detour into explicitly calculating the canonical local 'height' at  $\infty$ , and for good enough bounds on this we will need to introduce extra partitioning, but let us ignore this for the sake of the outline. In the end we will balance the upper bound that arises from analyzing the sum of two points (which is good enough in some cases) and that arising from the analysis of the difference (which is good enough in, in fact, all the other cases!) to obtain a sufficiently strong gap principle to provide an admissible bound on the number of medium points. The difficulty here will be that we can only explicitly count small points up to a certain threshold, while the gap principle worsens as the height of the points decreases.

The explicit gap principle will then imply that the number of medium-sized points  $P \in C_f(\mathbb{Q})$  with  $\hat{h}(P) \in [A, (1+\delta)A], h(P) \in [B, (1+\delta)B]$ , and  $B \ge \operatorname{const} \cdot h(f)$  (for const a suitably chosen constant — we will see that it will depend on whether or not x(P) is much larger than H(f), though let us ignore this), is at most  $\ll 1.645^{\operatorname{rank}(J_f(\mathbb{Q}))}$ . Indeed, any two points with heights in such an interval will be forced to 'repulse', i.e. to have large angle between them. Then, on projecting the points to the unit sphere in  $C_f(\mathbb{Q}) \otimes_{\mathbb{Z}} \mathbb{R}$ , it will follow that we are tasked with upper bounding the size of a spherical code with given minimal angle, which is precisely the content of the Kabatianksy-Levenshtein bound. This will complete the argument.

As a final remark, there is of course the question of generalizing the argument to higher degrees. Our analysis of small and large points carries over immediately to polynomials of arbitrary degree  $d \geq 5$  (and, for the large point analysis, even to general genus  $g \geq 2$  curves), but where I see no way forward is the analysis of medium points. Specifically, the existence of explicit equations embedding  $J_f$  and  $K_f$  into projective spaces, as well as explicit formulas for the addition law on  $J_f$  and for duplication on  $K_f$ , as well as explicit analysis of local height functions for the canonical height (especially as compared to those for the naïve height) is absolutely crucial to the techniques and thus the method seems resistant to easy generalization. It seems the relevant facts about local heights may exist for genus 3 hyperelliptic curves due to recent work of Stoll [21], but I cannot say yet whether this technique goes through. For yet higher degrees it seems significantly different (i.e., not so explicit) techniques are necessary.

In any case, this completes the outline of the argument. Our next step will be to collect, for reference, the definition of the final partition used in the argument.

**Remark 6.** The following section is redundant and simply collects the definitions of the various parts of the partition, and so can be skipped and used as a reference (I have included it only because the notation gets quite intricate — the paper is independent of the section, for example).

## 4. The partition

4.1. **Small, medium-sized, and large points.** We begin by defining "small", "medium-sized", and "large" points. It turns out our height bounds will depend on whether or not our points have unusually large x-coordinates or not, principally because points with very large x-coordinate are very close to  $\infty$  in the Archimedean topology, and so are very easy to handle with explicit

formulas. In any case, let:

$$\begin{split} & \mathrm{I}_f^{\uparrow} := \{P \in C_f(\mathbb{Q}) : |x(P)| \geq \delta^{-\delta^{-1}}H(f), h(P) < (c_{\uparrow} - \delta)h(f)\}, \\ & \mathrm{I}_f^{\downarrow} := \{P \in C_f(\mathbb{Q}) : |x(P)| < \delta^{-\delta^{-1}}H(f), h(P) < (c_{\downarrow} - \delta)h(f)\}, \\ & \mathrm{I}_f := \mathrm{I}_f^{\uparrow} \cup \mathrm{I}_f^{\downarrow}, \\ & \mathrm{II}_f^{\uparrow} := \{P \in C_f(\mathbb{Q}) : |x(P)| \geq \delta^{-\delta^{-1}}H(f), P \not\in \mathrm{I}_f, h(P) < \delta^{-\delta^{-1}}h(f)\}, \\ & \mathrm{II}_f^{\downarrow} := \{P \in C_f(\mathbb{Q}) : |x(P)| \leq \delta^{\delta^{-1}}H(f), P \not\in \mathrm{I}_f, h(P) < \delta^{-\delta^{-1}}h(f)\}, \\ & \mathrm{II}_f^{\bullet} := \{P \in C_f(\mathbb{Q}) : \delta^{\delta^{-1}}H(f) < |x(P)| < \delta^{-\delta^{-1}}H(f), P \not\in \mathrm{I}_f, h(P) < \delta^{-\delta^{-1}}h(f)\}, \\ & \mathrm{II}_f := \mathrm{II}_f^{\uparrow} \cup \mathrm{II}_f^{\downarrow} \cup \mathrm{II}_f^{\downarrow}, \\ & \mathrm{III}_f := C_f(\mathbb{Q}) - (\mathrm{I}_f \cup \mathrm{II}_f), \\ & c_{\uparrow} := \frac{25}{3}, \\ c_{\downarrow} := 8 \end{split}$$

4.2. Ensuring that lifts to  $\mathbb{C}^2$  via  $\mathbb{C}^2 \to J_f(\mathbb{C})$  are close, and closeness to  $\alpha_*$  versus  $\beta_*$ . Next, we will refine this partition for medium-sized and large points. We will first partition points so that two points in the same part have very close lifts to a fundamental domain for the lattice  $\mathbb{Z}^2 + \tau_f \cdot \mathbb{Z}^2$  in  $\mathbb{C}^2$ , where  $\tau_f$  is a Riemann matrix of the Jacobian of  $y^2 = f(x)$  (in the Siegel fundamental domain), and we have fixed an isomorphism  $\Psi_f : \mathbb{C}^2/(\mathbb{Z}^2 + \tau_f \cdot \mathbb{Z}^2) \simeq J_f(\mathbb{C})$ . (See Section 5.2.4 for details.)

Write

$$\mathcal{G} := \left[ -\frac{1}{2}, \frac{1}{2} \right]^{\times 2} + \tau_f \cdot \left[ -\frac{1}{2}, \frac{1}{2} \right]^{\times 2}.$$

Write

$$\mathcal{G}^{(i_1,\ldots,i_4)} := \left( \left\lceil \frac{i_1}{2N}, \frac{i_1+1}{2N} \right\rceil \times \left\lceil \frac{i_2}{2N}, \frac{i_2+1}{2N} \right\rceil \right) + \tau_f \cdot \left( \left\lceil \frac{i_3}{2N}, \frac{i_3+1}{2N} \right\rceil \times \left\lceil \frac{i_4}{2N}, \frac{i_4+1}{2N} \right\rceil \right),$$

where  $N \simeq \delta^{-1}$  (thus this is a partition into O(1) parts, since  $\delta \gg 1$ ). Note that

$$\mathcal{G} = \bigcup_{i_1 = -N}^{N} \bigcup_{i_2 = -N}^{N} \bigcup_{i_3 = -N}^{N} \bigcup_{i_4 = -N}^{N} \mathcal{G}^{(i_1, i_2, i_3, i_4)}.$$

Now let

$$Z:J_f(\mathbb{C})\to\mathcal{G}$$

be a set-theoretic section (observe that the map  $\mathcal{G} \to \mathbb{C}^2/(\mathbb{Z}^2 + \tau_f \cdot \mathbb{Z}^2) \simeq J_f(\mathbb{C})$  is surjective). Next let

$$II_f^{(i_1, i_2, i_3, i_4)} := II_f \cap Z^{-1}(\mathcal{G}^{(i_1, i_2, i_3, i_4)}).$$

(Similarly with decorations such as  $\uparrow, \downarrow, \bullet$  added, and for  $\mathrm{III}_f^{(i_1,\dots,i_4)}$ .) Thus if  $P,Q\in \mathrm{II}_f^{(i_1,i_2,i_3,i_4)}$ , we have that

$$Z(P) - Z(Q) = A + \tau_f \cdot B$$

with

$$||A||, ||B|| \ll \delta.$$

Finally, recall (via Lemma 33) that we may, and will, choose two roots  $\alpha_*$ ,  $\beta_*$  of f such that

$$|\alpha_*|, |\beta_*|, |\alpha_* - \beta_*| \gg H(f).$$

So let

$$II_f^{\alpha_*} := \{ P \in II_f : |x(P) - \alpha_*| \le |x(P) - \beta_*| \}$$

and, similarly,

$$\Pi_f^{\beta_*} := \{ P \in \Pi_f : |x(P) - \beta_*| \le |x(P) - \alpha_*| \}.$$

That is,  $\Pi_f^{\alpha_*}$  is the set of points of  $\Pi_f$  whose x-coordinates are closest to  $\alpha_*$ , and similarly for  $\Pi_f^{\beta_*}$ . We similarly define  $\Pi_f^{\alpha_*}$  and  $\Pi_f^{\beta_*}$ . Finally, for  $\rho \in \{\alpha_*, \beta_*\}$ , define

$$\Pi_f^{(i_1,i_2,i_3,i_4),\rho} := \Pi_f^{(i_1,i_2,i_3,i_4)} \cap \Pi_f^{\rho},$$

and similarly for  $III_f$ , and all other decorations.

4.3. **Ensuring that the canonical and naive heights are multiplicatively very close.** Finally, we refine the partition once more, in order to ensure that two points in the same part have very (multiplicatively) close canonical *and* naive heights.

Lemma 38 furnishes us with constants  $\mu, \nu$  with  $\mu \approx 1, \nu \approx \delta^{-\delta^{-1}}$  such that, for all  $P \in \Pi_f$ , we have that both  $\hat{h}(P) \in [\mu^{-1}h_K(P), \mu h_K(P)], h_K(P) \in [\nu^{-1}h(f), \nu h(f)].$ 

Note that

$$[\mu^{-1}, \mu] \subseteq \bigcup_{i=-O(\delta^{-1})}^{O(\delta^{-1})} [(1+\delta)^i, (1+\delta)^{i+1}],$$

and similarly for  $[\nu^{-1}, \nu]$  (except with the bounds on the union changed to  $O(\delta^{-2} \log \delta^{-1})$ ). Define the following partition of  $\Pi_f$  into  $\delta^{-O(1)}$  many pieces:

$$\Pi_f^{[i,j]} := \{P \in \Pi_f : \hat{h}(P) \in [(1+\delta)^i h_K(P), (1+\delta)^{i+1} h_K(P)] \text{ and } h_K(P) \in [(1+\delta)^j h(f), (1+\delta)^{j+1} h(f)]\},$$

and similarly with all other decorations added — e.g.,

$$\Pi_f^{\uparrow,(i_1,i_2,i_3,i_4),\rho,[i,j]} := \Pi_f^{\uparrow,(i_1,i_2,i_3,i_4),\rho} \cap \Pi_f^{[i,j]}.$$

We also define  $\mathrm{III}_f^{[[i]]}$ , etc. (thus also e.g.  $\mathrm{III}_f^{\bullet,(i_1,\ldots,i_4),\rho,[[i]]}$ ) in a similar way, except without the second condition — that is, we only impose that  $\hat{h}(P) \in [(1+\delta)^i h_K(P), (1+\delta)^{i+1} h_K(P)]$ .

Thus

$$\Pi_f = \bigcup_{\rho \in \{\alpha_*,\beta_*\}} \bigcup_{? \in \{\uparrow, \bullet, \downarrow\}}^{O(\delta^{-1})} \bigcup_{i_1=0}^{O(\delta^{-1})} \bigcup_{i_4=0}^{O(\delta^{-1})} \bigcup_{i=-O(\delta^{-1})}^{\delta^{-O(1)}} \bigcup_{j=-\delta^{-O(1)}}^{II_f^{?,(i_1,\ldots,i_4),\rho,[i,j]}},$$

and, since the partition is into  $\delta^{-O(1)} = O(1)$  parts, it suffices to bound the sizes of each part individually. Similarly for III<sub>f</sub>.

Now let us prove the theorem.

## 5. Proof of Theorem 1

The first thing to note is that  $|\mathcal{F}_{\mathrm{universal}}^{\leq T}| \approx T^{14}$ . The second thing to note is that if  $\left(\frac{a}{b}, \frac{c}{d}\right) \in C_f(\mathbb{Q})$  is in lowest terms, then since (by clearing denominators of the defining equation)  $d^2|c^2b^5$  and  $b^5|d^2\cdot(a^5+(\in b\mathbb{Z}))$ , it follows that  $d^2=b^5$ —i.e., that all rational points on  $C_f$  are of the form  $\left(\frac{a}{e^2}, \frac{b}{e^5}\right)$  in lowest terms.

Now, given 
$$f \in \mathcal{F}_{\mathrm{universal}}^{\leq T}$$
, write  $C_f(\mathbb{Q}) =: \mathbf{I}_f \cup \mathbf{II}_f \cup \mathbf{III}_f$ , with:<sup>7</sup>

$$\mathbf{I}_f^{\uparrow} := \{P \in C_f(\mathbb{Q}) : |x(P)| \geq \delta^{-\delta^{-1}}H(f), h(P) < (c_{\uparrow} - \delta)h(f)\},$$

$$\mathbf{I}_f^{\downarrow} := \{P \in C_f(\mathbb{Q}) : |x(P)| < \delta^{-\delta^{-1}}H(f), h(P) < (c_{\downarrow} - \delta)h(f)\},$$

$$\mathbf{I}_f := \mathbf{I}_f^{\uparrow} \cup \mathbf{I}_f^{\downarrow},$$

$$\mathbf{II}_f^{\uparrow} := \{P \in C_f(\mathbb{Q}) : |x(P)| \geq \delta^{-\delta^{-1}}H(f), P \not\in \mathbf{I}_f, h(P) < \delta^{-\delta^{-1}}h(f)\},$$

$$\mathbf{II}_f^{\downarrow} := \{P \in C_f(\mathbb{Q}) : |x(P)| \leq \delta^{\delta^{-1}}H(f), P \not\in \mathbf{I}_f, h(P) < \delta^{-\delta^{-1}}h(f)\},$$

$$\mathbf{II}_f^{\bullet} := \{P \in C_f(\mathbb{Q}) : \delta^{\delta^{-1}}H(f) < |x(P)| < \delta^{-\delta^{-1}}H(f), P \not\in \mathbf{I}_f, h(P) < \delta^{-\delta^{-1}}h(f)\},$$

$$\mathbf{II}_f := \mathbf{II}_f^{\uparrow} \cup \mathbf{II}_f^{\bullet}, \cup \mathbf{II}_f^{\downarrow},$$

$$\mathbf{III}_f := C_f(\mathbb{Q}) - (\mathbf{I}_f \cup \mathbf{II}_f),$$

$$c_{\uparrow} := \frac{25}{3},$$

$$c_{\downarrow} := 8.$$

We will call points in  $I_f$  *small*, points in  $II_f$  *medium*-sized, and points in  $III_f$  *large*. In words, the decorations  $\uparrow, \bullet, \downarrow$  indicate the size of the x-coordinates of such points, and we have broken into: large points, and small/medium-sized points with surprisingly large/surprisingly small/otherwise (the second occurring only in the case of medium points) x-coordinates. Let us first handle the small points.

5.1. **Small points.** We first turn to those small points with large x-coordinate, i.e. the points in  $I_f^{\uparrow}$ .

Lemma 7.

$$\sum_{f \in \mathcal{F}_{\text{universal}}^{\leq T}} \# |\mathbf{I}_f^{\uparrow}| \ll T^{14 - \Omega(\delta)}.$$

*Proof.* Certainly

$$\sum_{f \in \mathcal{F}_{\text{universal}}^{\leq T}, H(f) \asymp T} \# |\mathcal{I}_f^{\uparrow}| \leq \# |\{(s, d, a_2, t, a_3, a_4, a_5) : t^2 = s^5 + a_2 d^4 s^3 + \dots + a_5 d^{10}, \\ |a_i| \leq T^i, \Delta_f \neq 0, (s, d) = 1, (t, d) = 1, T \ll |s| \leq T^{c_{\uparrow} - \delta}, d^2 \leq \delta^{\delta^{-1}} |s| T^{-1}\}|,$$

and it suffices to prove the claimed bound for this sum (via a dyadic partition of size  $\log T$  — note that we have restricted  $H(f) \approx T$ , rather than just  $\leq T$ ).

We will say that an integer z extends the tuple  $(\tilde{s},\tilde{d},\dots)$  if there is an element  $(s,d,a_2,t,a_3,a_4,a_5)$  in the above set agreeing in the respective entries — i.e.,  $s=\tilde{s},d=\tilde{d},\dots$  — and with value z in the next entry. (The tuple can be length one, or even empty, of course.) For example, given an element  $(s,d,a_2,t,a_3,a_4,a_5)$  of the above set,  $a_5$  extends  $(s,d,a_2,t,a_3,a_4)$ ,  $a_4$  extends  $(s,d,a_2,t,a_3)$ , and so on.

Given a tuple  $(s, d, a_2, t, a_3, a_4)$ , of course there is at most one  $a_5$  that extends this tuple — indeed, the defining equation lets us solve for  $a_5d^{10}$ , and hence for  $a_5$ . Next, given  $(s, d, a_2, t, a_3)$ , if both  $a_4$  and  $a'_4$  extend  $(s, \ldots, a_3)$  to  $(s, \ldots, a_3, a_4, a_5)$  and  $(s, \ldots, a_3, a'_4, a'_5)$ , respectively, then, on taking differences of the respective defining equations, we find that

$$0 = (a_4 - a_4')d^8s + (a_5 - a_5')d^{10},$$

<sup>&</sup>lt;sup>7</sup>In the general degree d case, we have that  $|\mathcal{F}_{\text{universal}}^{\leq T}| \approx T^{\frac{d(d+1)}{2}-1}$ , that  $c_{\uparrow} = \frac{d(d+1)-5}{3}$ , and that  $c_{\downarrow} = \frac{d(d+1)}{3}-2$ , via precisely the same methods. (These values of  $c_{\uparrow}$  and  $c_{\downarrow}$  are easily improved upon in the general case, though I do not know precisely how far one can go.)

and so

$$|a_4 - a_4'| \ll \frac{T^5 d^2}{|s|}$$

since  $|a_5|, |a_5'| \ll T^5$ . Moreover we also have that  $0 \equiv (a_4 - a_4')sd^8 \pmod{d^{10}}$ , i.e. that (since (s,d)=1)

$$a_4 \equiv a_4' \pmod{d^2}$$
.

Hence the number of  $a_4$  extending the tuple  $(s, d, a_2, t, a_3)$  is

$$\ll 1 + \frac{T^5}{|s|}.$$

Similarly, if  $a_3$  and  $a_3'$  extend  $(s, d, a_2, t)$ , then, with similar notation as before,

$$0 = (a_3 - a_3')s^2d^6 + (a_4 - a_4')sd^8 + (a_5 - a_5')d^{10},$$

whence (here we use that  $|s| \gg Td^2$ )

$$|a_3 - a_3'| \ll \frac{T^4 d^2}{|s|},$$

and, again, on reducing the equation modulo  $d^8$  it follows that

$$a_3 \equiv a_3' \pmod{d^2}$$
.

Thus the number of  $a_3$  extending  $(s, d, a_2, t)$  is

$$\ll 1 + \frac{T^4}{|s|}.$$

Next, if both t, t' > 0 extend  $(s, d, a_2)$ , then

$$(t-t')(t+t') = (a_3 - a_3')s^2d^6 + (a_4 - a_4')sd^8 + (a_5 - a_5')d^{10},$$

and so

$$|t - t'| \ll \frac{T^3|s|^2 d^6}{t + t'}.$$

Since  $t^2=s^5+a_2s^3d^4+a_3s^2d^6+a_4sd^8+a_5d^{10}$  and  $|s|\geq \delta^{-\delta^{-1}}Td^2$ , it follows that, once  $\delta\ll 1$  (i.e. once  $\delta$  is sufficiently small),  $t\asymp |s|^{\frac{5}{2}}$ . Thus we have found that

$$|t - t'| \ll T^3 |s|^{-\frac{1}{2}} d^6.$$

Moreover, since

$$t^2 \equiv s^5 + a_2 s^3 d^4 \pmod{d^6},$$

and there are  $\ll_{\epsilon} d^{\epsilon}$  many such square-roots of  $s^5 + a_2 s^3 d^4$  modulo  $d^6$  (there are  $\ll 2^{\omega(d)}$ , to be precise, since (s,d)=1), it follows that any such t falls into  $\ll_{\epsilon} d^{\epsilon} \ll_{\epsilon} T^{\epsilon}$  congruence classes modulo  $d^6$  and inside one of two (depending on sign) intervals of length  $\ll T^3 |s|^{-\frac{1}{2}} d^6$ , whence the number of t extending  $(s,d,a_2)$  is

$$\ll_{\epsilon} T^{\epsilon} \left( 1 + \frac{T^3}{|s|^{\frac{1}{2}}} \right).$$

Finally, since  $|a_2| \ll T^2$ , of course there are only  $\ll T^2$  many  $a_2$  extending (s, d).

Hence, in sum, we have shown that (on multiplying these bounds together and summing over the possible s and d)

$$\begin{split} \sum_{f \in \mathcal{F}_{\text{universal}}} \# |\mathbf{I}_f^{\uparrow}| \ll_{\epsilon} T^{2+\epsilon} \sum_{T \ll |s| \ll T^{c_{\uparrow} - \delta}} \sum_{d \ll |s|^{\frac{1}{2}} T^{-\frac{1}{2}}} \left( 1 + \frac{T^3}{|s|^{\frac{1}{2}}} \right) \left( 1 + \frac{T^4}{|s|} \right) \left( 1 + \frac{T^5}{|s|} \right) \\ \ll_{\epsilon} T^{2+\epsilon} \sum_{T \ll |s| \ll T^{c_{\uparrow} - \delta}} |s|^{\frac{1}{2}} T^{-\frac{1}{2}} + T^{\frac{5}{2}} + \frac{T^{\frac{9}{2}}}{|s|^{\frac{1}{2}}} + \frac{T^{\frac{15}{2}}}{|s|} + \frac{T^{\frac{17}{2}}}{|s|^{\frac{3}{2}}} + \frac{T^{\frac{23}{2}}}{|s|^2} \\ \ll_{\epsilon} T^{\epsilon} \left( T^{\frac{3}{2}(c_{\uparrow} - \delta) + \frac{3}{2}} + T^{(c_{\uparrow} - \delta) + \frac{9}{2}} + T^{\frac{1}{2}(c_{\uparrow} - \delta) + \frac{13}{2}} + T^{\frac{25}{2}} \right), \end{split}$$

and this is admissible (remember that the size of the family is  $T^{14}$ ) since  $c_{\uparrow} = \frac{25}{3}$ .

Now we turn to those small points that do *not* have such large *x*-coordinates. The ideas for this case are much the same as the ideas for the previous one.

#### Lemma 8.

$$\sum_{f \in \mathcal{F}_{\text{universal}}^{\leq T}} \# |\mathcal{I}_f^{\downarrow}| \ll T^{14 - \Omega(\delta)}.$$

*Proof.* In the same way as Lemma 7, we write

$$\sum_{f \in \mathcal{F}_{\text{universal}}^{\leq T}, H(f) \times T} \# |\mathcal{I}_f^{\downarrow}| \leq \# |\{(s, d, a_2, t, a_3, a_4, a_5) : t^2 = s^5 + a_2 d^4 s^3 + \dots + a_5 d^{10}, \\ |a_i| \leq T^i, \Delta_f \neq 0, (s, d) = 1, (t, d) = 1, |s| \leq T^{c_{\downarrow} - \delta}, d^2 > \delta^{\delta^{-1}} |s| T^{-1} \}|.$$

And, again, we argue by iteratively bounding the number of integers extending a tuple, where our definition of *extending* is much the same as before (except the ambient set has changed). First, the number of  $a_5$  extending  $(s, d, a_2, t, a_3, a_4)$  is  $\leq 1$ , again because we can solve for  $a_5d^{10}$  and hence  $a_5$  in the defining equation.

Now, if both  $a_4$  and  $a'_4$  extend  $(s, d, a_2, t, a_3)$  to  $(s, d, a_2, t, a_3, a_4, a_5)$  and  $(s, d, a_2, t, a_3, a'_4, a'_5)$ , then, on taking differences of the defining equations, we find that

$$0 = (a_4 - a_4')sd^8 + (a_5 - a_5')d^{10},$$

and so  $0 \equiv (a_4 - a_4')sd^8 \pmod{d^{10}}$ —i.e.,

$$a_4 \equiv a_4' \pmod{d^2},$$

in much the same way as before. This time we simply use that  $|a_4| \ll T^4$  to get that the number of  $a_4$  extending  $(s, d, a_2, t, a_3)$  is

$$\ll 1 + \frac{T^4}{d^2}$$

since if there is at least one solution, any other must lie in an interval of length  $T^4$  intersected with a congruence class modulo  $d^2$ .

In the same way, if  $a_3$  and  $a'_3$  extend  $(s, d, a_2, t)$ , we find that

$$a_3 \equiv a_3' \pmod{d^2}$$
,

and since  $|a_3| \ll T^3$  it follows that the number of  $a_3$  extending  $(s, d, a_2, t)$  is

$$\ll 1 + \frac{T^3}{d^2}$$
.

Now if t extends  $(s, d, a_2)$ , then since

$$t^2 \equiv s^5 + a_2 s^3 d^4 \pmod{d^6}$$

and there are  $\ll_{\epsilon} d^{\epsilon} \ll_{\epsilon} T^{\epsilon}$  many square roots of an element of  $(\mathbb{Z}/d^{6})^{\times}$ , we find that t must fall in one of  $\ll_{\epsilon} T^{\epsilon}$  congruence classes modulo  $d^{6}$ . Also, since

$$t^2 \ll T^5 d^{10}$$

(since  $d^2 \gg T^{-1}|s|$ ) via the defining equation, it follows that

$$|t| \ll T^{\frac{5}{2}} d^5.$$

Hence the number of t extending  $(s, d, a_2)$  is

$$\ll_{\epsilon} T^{\epsilon} \left( 1 + \frac{T^{\frac{5}{2}}}{d} \right).$$

Finally,  $|a_2| \ll T^2$  implies the number of  $a_2$  extending (s,d) is of course  $\ll T^2$ . In sum, we have found that (here we use that we will choose  $\delta \approx 1$  in the end)

$$\begin{split} \sum_{f \in \mathcal{F}_{\text{universal}}} \# |\mathbf{I}_f^{\downarrow}| \ll_{\epsilon} T^{2+\epsilon} \sum_{d \leq T^{\frac{c_{\downarrow} - \delta}{2}} \, |s| \ll \min(T^{c_{\downarrow} - \delta}, \delta^{-\delta^{-1}} T d^2)} \left( 1 + \frac{T^{\frac{5}{2}}}{d} \right) \left( 1 + \frac{T^3}{d^2} \right) \left( 1 + \frac{T^4}{d^2} \right) \\ \ll_{\epsilon} T^{2+\epsilon} \sum_{T^{\frac{c_{\downarrow} - 1 - \delta}{2}} \, \ll d \leq T^{\frac{c_{\downarrow} - \delta}{2}} \, |s| \ll T^{c_{\downarrow} - \delta}} \left( 1 + \frac{T^{\frac{5}{2}}}{d} \right) \left( 1 + \frac{T^3}{d^2} \right) \left( 1 + \frac{T^4}{d^2} \right) \\ + T^{2+\epsilon} \sum_{d \ll T^{\frac{c_{\downarrow} - 1 - \delta}{2}} \, |s| \ll T d^2} \left( 1 + \frac{T^{\frac{5}{2}}}{d} \right) \left( 1 + \frac{T^3}{d^2} \right) \left( 1 + \frac{T^4}{d^2} \right) \\ \ll_{\epsilon} T^{\epsilon} \left( T^{\frac{3(c_{\downarrow} - \delta)}{2} + 2} + T^{c_{\downarrow} + \frac{9}{2} - \delta} + T^{\frac{19}{2}} + T^{\frac{c_{\downarrow} - 1 - \delta}{2} + 7} + T^{10} + T^{\frac{25}{2}} \right), \end{split}$$

and this is admissible since  $c_{\perp} = 8$ .

This completes the small point counting.

5.2. **Medium points.** We recall the notation  $C_f: y^2 = f(x), J_f := \operatorname{Jac}(C_f), K_f := J_f/\{\pm 1\}$  for the curve, Jacobian, and Kummer variety associated to f. Write  $\infty \in C_f(\mathbb{Q})$  for the marked rational Weierstrass point on  $C_f \subseteq \mathbb{P}^2$ . Write  $j: C_f \to K_f$  for the Abel-Jacobi map associated to  $\infty$ , and write  $\kappa: C_f \to K_f$  for  $j: C_f \to J_f \twoheadrightarrow K_f$ , i.e. j composed with the canonical projection  $J_f \to K_f$ . We will embed  $K_f \subseteq \mathbb{P}^3$  as in Cassels-Flynn [5], which realizes the map  $C_f \to \mathbb{P}^3$  as the projection  $x: C_f \to \mathbb{P}^1$  composed with a Veronese embedding  $\mathbb{P}^1 \to \mathbb{P}^2 \subseteq \mathbb{P}^3$ , with image a rational normal curve in a hyperplane. Explicitly,  $C_f \to K_f \to \mathbb{P}^3$  is the map  $(x,y) \mapsto [0,1,x,x^2]$ . We define, for  $P \in C_f(\overline{\mathbb{Q}})$ , h(P) := h(x(P)), the logarithmic Weil height of the x-coordinate of P. We define P0 be the pullback of the logarithmic Weil height on  $\mathbb{P}^3$  to  $\mathbb{K}_f$  via the Cassels-Flynn embedding, and, for  $P \in K_f(\overline{\mathbb{Q}})$ ,  $h(P) := \lim_{n \to \infty} \frac{h_K(2^n P)}{4^n}$ , the canonical height on  $K_f$  (or F1, on pulling back via the projection). We will omit F2 and F3 to F4 with the inner expression projected to F5 and F6 and F7 course these mean F7 by interpretation there will be no ambiguity in dropping the various embeddings.

Note that from the simple fact that, for  $P \in C_f(\mathbb{C})$ ,  $\kappa(P) = [0, 1, x(P), x(P)^2]$ , it follows that  $h_K(\kappa(P)) = 2h(P)$  for all  $P \in C_f(\overline{\mathbb{Q}})$ . Thus e.g. our small point counting allows us to focus only on those  $P \in C_f(\mathbb{Q})$  with  $h_K(\kappa(P)) \geq (16 - 2\delta)h(f)$ , since  $c_{\uparrow} \geq c_{\downarrow} = 8$ .

Having set all this notation, let us turn to bounding the number of medium points on these curves. To do this we will establish an explicit Mumford gap principle via the explicit addition

law on  $J_f \hookrightarrow \mathbb{P}^{15}$  provided by Flynn [7] on his website, and then use the usual sphere packing argument to conclude the section.

5.2.1. Upper bounds on  $\hat{h}(P+Q)$ . First let us deal with the gap principle. We will first prove upper bounds on expressions of the form  $\hat{h}(P+Q)$ . We split into two cases, depending on whether or not the given points have unusually (Archimedeanly) large x-coordinate or not.

**Lemma 9.** Let  $P \neq \pm Q \in C_f(\mathbb{Q})$  with  $|x(P)|, |x(Q)| \ll \delta^{-\delta^{-1}}H(f)$  and  $h(P) \geq h(Q)$ . Then:

$$\hat{h}(P+Q) \le 3h_K(P) + (5+O(\delta))h(f).$$

*Proof.* Write  $P=:(X,Y)=:\left(\frac{S}{D^2},\frac{U}{D^5}\right),Q=:(x,y)=:\left(\frac{s}{d^2},\frac{u}{d^5}\right)$ , with (S,D)=(s,d)=1. By Flynn's explicit formulas, we find that:

$$\kappa(P+Q) = [(X-x)^2, (X-x)^2(X+x), (X-x)^2Xx, 2a_5 + a_4(X+x) + 2a_3Xx + a_2Xx(X+x) + X^2x^2(X+x) - 2Yy].$$

Clearing denominators, we find that:

$$\kappa(P+Q) = [D^2d^2(Sd^2 - sD^2)^2, (Sd^2 - sD^2)^2(Sd^2 + sD^2), Ss(Sd^2 - sD^2)^2,$$

$$2a_5D^6d^6 + a_4D^4d^4(Sd^2 + sD^2), 2a_3D^4d^4Ss + a_2D^2d^2Ss(Sd^2 + sD^2) + S^2s^2(Sd^2 + sD^2) - 2DdUu].$$

It therefore follows that, with these affine coordinates,

$$|\kappa(P+Q)_1| \ll H_K(P)^3,$$
  

$$|\kappa(P+Q)_2| \ll H_K(P)^3,$$
  

$$|\kappa(P+Q)_3| \ll H_K(P)^3,$$
  

$$|\kappa(P+Q)_4| \ll H(f)^5 H_K(P)^3,$$

since  $|S|, |s|, D^2, d^2 \ll H_K(P)^{\frac{1}{2}}$  and  $|X|, |x| \ll H(f)$  by hypothesis.

Next, for  $R = [k_1, k_2, k_3, k_4] \in K_f$ , we have that  $2R = [\delta_1(R), \delta_2(R), \delta_3(R), \delta_4(R)]$ , where:

$$\begin{split} \delta_1(k_1,\dots,k_4) &:= 4a_2^2a_5k_1^4 + 8a_4^2k_1^3k_2 - 32a_3a_5k_1^3k_2 - 8a_2a_5k_1^2k_2^2 + 4a_5k_2^4 - 16a_2a_5k_1^3k_3 \\ &- 4a_2a_4k_1^2k_2k_3 - 16a_5k_1k_2^2k_3 + 4a_4k_2^3k_3 + 16a_5k_1^2k_3^2 - 8a_4k_1k_2k_3^2 + 4a_3k_2^2k_3^2 + 4a_2k_2k_3^3 \\ &+ 4a_2a_4k_1^3k_4 - 32a_5k_1^2k_2k_4 - 4a_4k_1k_2^2k_4 - 8a_4k_1^2k_3k_4 - 8a_3k_1k_2k_3k_4 - 4a_2k_1k_3^2k_4 + 8k_3^3k_4 \\ &+ 4a_3k_1^2k_4^2 - 4k_2k_3k_4^2 + 4k_1k_4^3, \\ \delta_2(k_1,\dots,k_4) &:= a_2a_4^2k_1^4 - 4a_2a_3a_5k_1^4 + 16a_5^2k_1^4 - 4a_2^2a_5k_1^3k_2 \\ &+ 16a_4a_5k_1^3k_2 + 4a_4^2k_1^2k_2^2 - 4a_2a_5k_1k_2^3 - 6a_2^2a_4k_1^3k_3 + 16a_4^2k_1^3k_3 - 32a_3a_5k_1^3k_3 \\ &+ 16a_3a_4k_1^2k_2k_3 - 20a_2a_5k_1^2k_2k_3 - 8a_2a_4k_1k_2^2k_3 + 8a_5k_2^2k_3 + 5a_2^3k_1^2k_3^2 + 16a_3^2k_1^2k_3^2 \\ &- 14a_2a_4k_1^2k_2^2 - 12a_2a_3k_1k_2k_3^2 + 32a_5k_1k_2k_3^2 + 4a_4k_2^2k_3^2 - 6a_2^2k_1k_3^3 + 16a_4k_1k_3^3 + a_2k_4^3 \\ &+ 4a_2a_5k_1^3k_4 + 2a_2a_4k_1^2k_2k_4 + 8a_5k_1k_2^2k_4 + 4a_4k_2^2k_3^2 - 6a_2^2k_1k_3^2k_4 - 16a_5k_1^2k_3k_4 \\ &- 10a_2^2k_1k_2k_3k_4 + 16a_4k_1k_2k_3k_4 + 8a_3k_2^2k_3k_4 + 16a_3k_1k_3^2k_4 + 2a_2k_2k_3^2k_4 + 4a_4k_1^2k_4^2 \\ &+ 8a_3k_1k_2k_4^2 + 5a_2k_2^2k_4^2 - 8a_2k_1k_3k_4^2 + 4k_2^2k_3^2k_2 - 16a_2a_3a_5k_1^3k_2 - 32a_5^2k_1^3k_2 \\ &- 8a_4a_5k_1^2k_2^2 + 4a_4^2k_1k_2^3 - 16a_3a_5k_1k_2^3 - 4a_2a_4k_1k_2k_3^2 + 12a_5k_2^2k_3^2 - 16a_5k_1k_3^3 \\ &+ 8a_4k_2k_3^3 + 4a_3k_3^4 + 8a_4^2k_1^2k_4 - 32a_3a_5k_1^2k_4 - 24a_2a_5k_1^2k_2k_4 - 8a_5k_2^2k_4 - 4a_2a_4k_1^2k_3k_4 \\ &- 24a_2a_5k_1k_2^2k_3 - 8a_3a_4k_1^2k_3^2 + 24a_2a_5k_1^2k_3^2 - 4a_2a_4k_1k_2k_3^2 + 12a_5k_2^2k_4^2 - 4a_4k_1k_2k_3^2 + 4a_4k_1k_2k_3^2 + 4a_2a_5k_1^2k_2k_4 - 8a_5k_2^2k_4 - 4a_2a_4k_1^2k_3k_4 \\ &- 24a_5k_1k_2k_3k_4 - 4a_4k_2^2k_3k_4 - 8a_4k_1k_3^2k_4 + 4a_2k_3^2k_1k_4 - 24a_2a_5k_1^2k_2k_4 - 8a_5k_2^2k_4 - 4a_2a_4k_1^2k_3k_4 \\ &- 24a_5k_1k_2k_3k_4 - 4a_4k_2^2k_3k_4 - 8a_4k_1k_3^2k_4 + 4a_2k_3^2k_1k_4 - 24a_2a_5k_1^2k_2^2 + 8a_2a_3a_5k_1^2k_2 \\ &+ 16a_2a_5k_1^4 - 2a_3^3k_1^4 - 4a_2^2a_3a_5k_1^3k_2 - 16a_2a_3a_5k_1^3k_2 - 2a_2a_4k_1^2k_2^2 + 8a_2a_3k_1^2k_2k_4 \\ &+ 8a_2a_5k_1$$

In particular,  $(2R)_i = \delta_i(R)$  is a homogenous polynomial (with  $\mathbb{Z}$  coefficients) of degree (12+i,4), where we give the  $a_i$  degree (i,0) and the  $k_i$  degree (i,1). Hence  $(2^NR)_i$  is homogeneous of degree  $(4^{N+1}-4+i,4^N)$  under this grading, with integral coefficients (thought of as a polynomial in  $\mathbb{Z}[a_2,\ldots,a_5,k_1,\ldots,k_4]$ ) of size  $O_N(1)$ .

It therefore follows that:

$$\begin{split} |\kappa(2^N(P+Q))_i| \ll_N \max_{\alpha_1+\alpha_2+\alpha_3+\alpha_4=4^N} H(f)^{4^{N+1}-4+i-\alpha_1-2\alpha_2-3\alpha_3-4\alpha_4} H_K(P)^{3\cdot 4^N} H(f)^{5\alpha_4} \\ \ll H(f)^{4^{N+1}+4^N} H_K(P)^{3\cdot 4^N}, \end{split}$$

which is to say that (since  $H_K(R) \leq \max_i |R_i|$  when all the coordinates  $R_i \in \mathbb{Z}$ )

$$\frac{1}{4N}h_K(2^N(P+Q)) \le 3h_K(P) + 5h(f) + O_N(1).$$

 $\checkmark$ 

Now since we'd like a result about the canonical height, observe that:

$$\hat{h}(P+Q) - \frac{1}{4^N} h_K(2^N(P+Q)) = \sum_{n \ge N} 4^{-n-1} \left( h_K(2^{n+1}(P+Q)) - 4h_K(2^n(P+Q)) \right)$$

$$= \sum_{n \ge N} 4^{-n-1} \left( \lambda_\infty(2^{n+1}(P+Q)) - 4\lambda_\infty(2^n(P+Q)) \right)$$

$$+ \sum_{n \ge N} \sum_{n \ge N} 4^{-n-1} \left( \lambda_p(2^{n+1}(P+Q)) - 4\lambda_p(2^n(P+Q)) \right),$$

where  $\lambda_v(R) := \max_i \log |R_i|_v$  are the local naïve heights.

Now, by Stoll [20] (see Corollary 13), we have that

$$|\lambda_p(2R) - 4\lambda_p(R)| \ll v_p(\Delta_f) \log p$$

(and the difference is 0 if  $v_p(\Delta_f) \le 1$ ), whence this expression simplifies to

$$\hat{h}(P+Q) - \frac{1}{4^N} h_K(2^N(P+Q)) = \sum_{n \ge N} 4^{-n-1} \left( \lambda_{\infty}(2^{n+1}(P+Q)) - 4\lambda_{\infty}(2^n(P+Q)) \right) + O(4^{-N} \log |\Delta_f|).$$

Moreover, certainly (by examining the explicit expressions for 2R for  $R \in K_f(\mathbb{Q})$ )

$$\lambda_{\infty}(2R) \le 4\lambda_{\infty}(R) + O(h(f)).$$

Thus we find that the full expression is

$$\ll 4^{-N}h(f),$$

since

$$|\Delta_f| \ll H(f)^{20}$$
.

Hence we have that

$$\hat{h}(P+Q) \le \frac{1}{4^N} h_K(2^N(P+Q)) + O(4^{-N}h(f))$$
  
$$\le 3h_K(P) + (5 + O(4^{-N}))h(f) + O_N(1).$$

Taking  $N \simeq \log (\delta^{-1})$  (which is  $\approx 1$ ) gives the result.

Now let us handle the case of points with large *x*-coordinate.

**Lemma 10.** Let 
$$P \neq \pm Q \in C_f(\mathbb{Q})$$
 with  $|x(P)|, |x(Q)| \gg \delta^{-\delta^{-1}} H(f)$  and  $h(P) \geq h(Q)$ . Then:  $\hat{h}(P+Q) \leq 3h_K(P) - h(f) + O(\delta h(f))$ .

*Proof.* The proof follows in the same way as the previous Lemma, except now from

$$\kappa(P+Q) = [(X-x)^2, (X-x)^2(X+x), (X-x)^2Xx, 2a_5 + a_4(X+x) + 2a_3Xx + a_2Xx(X+x) + X^2x^2(X+x) - 2Yy]$$
 we see that

$$|\kappa(P+Q)_i| \ll \max(|X|,|x|)^{i+1}$$

without a factor of  $H(f)^5$  for i=4 (as we had last time), since both |x| and |X| are so large. Thus, in the same way as the previous Lemma, we find that

$$|\kappa(2^{N}(P+Q))_{i}| \ll_{N} \max_{\alpha_{1}+\dots+\alpha_{4}=4^{N}} H(f)^{4^{N+1}-4+i-\alpha_{1}-2\alpha_{2}-3\alpha_{3}-4\alpha_{4}} \cdot \max(|X|,|x|)^{2\alpha_{1}+3\alpha_{2}+4\alpha_{3}+5\alpha_{4}+4^{N}}.$$

Now since  $\max(|X|, |x|) \gg H(f)$ , we find that therefore

$$|\kappa(2^N(P+Q))_i| \ll_N \max(|X|,|x|)^{5\cdot 4^N} \cdot H(f)^{-4+i}$$
.

Now since  $D^6d^6\kappa(P+Q)_i\in\mathbb{Z}$ , we see that  $D^{6\cdot 4^N}d^{6\cdot 4^N}\kappa(2^N(P+Q))_i\in\mathbb{Z}$ , and so

$$\frac{1}{4^N} h_K(2^N(P+Q)) \le 6\log D + 6\log d + 5\log \max(|X|,|x|) + O_N(1) + O(4^{-N}h(f)).$$

Since  $h(P) = 2 \log D + \log |X|$ , we see that  $h_K(P) = 4 \log D + 2 \log |X|$ , and similarly for  $h_K(Q)$ . Thus we find that

$$\frac{1}{4^N}h_K(2^N(P+Q)) \le 3h_K(P) - \log \max(|X|,|x|) + O_N(1) + O(4^{-N}h(f)) \le 3h_K(P) - h(f) + O_N(1) + O(4^{-N}h(f)).$$

The rest of the argument (to turn this into a bound on the canonical height) is the same.  $\checkmark$ 

This completes the upper bounding of  $\hat{h}(P+Q)$  required for the gap principle. We will also need lower bounds on  $\hat{h}(P-Q)$ , which will require a detailed study of what we will call  $\hat{\lambda}_{\infty}$  — we were able to get away with not dealing with it because it is much easier to upper bound the sizes of  $\delta_i(k_1,\ldots,k_4)$  than to lower bound them.

5.2.2. *Definition of local 'heights' and Stoll's bound.* To begin with, let us define the local canonical 'height' functions.

## **Definition 11.** Let

$$\hat{\lambda}_v(\bullet) := \lambda_v(\bullet) + \sum_{n \ge 0} 4^{-n-1} \left( \lambda_v(2^{n+1} \bullet) - \lambda_v(2^n \bullet) \right),$$

the local canonical "height" at a place v.

(Here  $\lambda_v(\bullet) := \max(\log |\bullet|_v, 0)$ .) Note that this sum converges by the inequality given in (7.1) (i.e., the treatment of the Archimedean case — at finite primes Stoll's explicit bounds on the local height difference have already been mentioned) in [19]. Indeed, evidently one has the upper bound  $\lambda_v(2P) - \lambda_v(P) \leq O(h(f))$ , and the corresponding lower bound (and thus a two-sided bound uniform in P) is given by (7.1). For completeness, note that the uniformity in P follows from e.g. Formulas 10.2 and 10.3 in [19] — the bound only depends on the roots of f. It follows therefore that each term in the sum is bounded in absolute value by a constant depending only on f (in fact, the constant is  $\ll h(f)$  as well), whence convergence.

We have written the word height in quotes because these  $\hat{\lambda}_v$  are not functions on  $K_f \subseteq \mathbb{P}^3$ , but rather on a lift (via the canonical projection  $\mathbb{A}^4 - \{0\} \twoheadrightarrow \mathbb{P}^3$ ) that we might (and will) call  $\hat{K}_f$ , the cone on  $K_f$  in  $\mathbb{A}^4$  — i.e. the subvariety of  $\mathbb{A}^4$  defined by the same defining quartic. That is, these functions  $\hat{\lambda}_v$  do change under scaling homogeneous coordinates, but they at least do so in a controlled fashion — indeed, so that  $\sum_v \hat{\lambda}_v = \hat{h}$  remains invariant.

Now we may state Stoll's [20] bound on the local height differences at finite primes.

## **Theorem 12.** (Stoll, [20])

$$|\hat{\lambda}_p - \lambda_p| \le \begin{cases} \frac{1}{3} v_p(2^4 \Delta_f) \log p & v_p(\Delta_f) \ge 2\\ 0 & v_p(\Delta_f) \le 1. \end{cases}$$

Note that this will be all we use to handle the local heights at finite places. Note also that it immediately follows that:

## Corollary 13.

$$\sum_{p} |\hat{\lambda}_p - \lambda_p| \le \frac{1}{3} \log |\Delta_f| + O(1).$$

5.2.3. Analysis of  $\hat{\lambda}_{\infty}$  and the partition at  $\infty$ . Thus we are left with studying  $\hat{\lambda}_{\infty}$ . Following Pazuki [14], we will relate  $\hat{\lambda}_{\infty}$  to a Riemann theta function plus the logarithm of a certain linear form (which is implicit in his normalization of Kummer coordinates), and then prove that the theta function term is harmless and may be ignored. Thus  $\hat{\lambda}_{\infty}$  will be related to a linear form involving roots of our original quintic polynomial f, except that we will be able to choose which roots we consider. (This corresponds to translating our original point by a suitable two-torsion point.)

We will then prove that there is at least one choice for which the resulting height is as desired, completing the argument.

So let

$$\tau_f =: \begin{pmatrix} \tau_1 & \tau_{12} \\ \tau_{12} & \tau_2 \end{pmatrix} \in \operatorname{Sym}^2(\mathbb{C}^2)$$

be the Riemann matrix corresponding to  $J_f$  in the Siegel fundamental domain  $\mathcal{F}_2$  — that is, so that  $\tau_f$  is a symmetric  $2 \times 2$  complex matrix whose imaginary part is positive-definite, and so that  $||\Re \mathfrak{e}\,\tau|| \ll 1$ ,

$$\mathfrak{Im}\,\tau_2 \geq \mathfrak{Im}\,\tau_1 \geq 2\,\mathfrak{Im}\,\tau_{12} > 0$$
,

and

$$\mathfrak{Im}\, au_1\geq rac{\sqrt{3}}{2}.$$

Let

$$\Psi_f: \mathbb{C}^2/(\mathbb{Z}^2 + \tau_f \mathbb{Z}^2) \simeq J_f(\mathbb{C})$$

be a complex uniformization. Let  $(\vec{a}, \vec{b})$  be a theta characteristic (i.e., simply  $\vec{a}, \vec{b} \in \frac{1}{2}\mathbb{Z}^2/\mathbb{Z}^2$ ). Let  $\theta_{\vec{a}\vec{b}} : \mathbb{C}^2 \to \mathbb{C}^2$  via:

$$\theta_{\vec{a}, \vec{b}}(Z) := \sum_{\vec{n} \in \mathbb{Z}^2} e\left(\frac{1}{2} \left\langle \vec{n} + \vec{a}, \tau_f \cdot (\vec{n} + \vec{a}) \right\rangle + \left\langle \vec{n} + \vec{a}, Z + \vec{b} \right\rangle \right),$$

where  $e(z):=e^{2\pi iz}$ . This is the *Riemann theta function* associated to the theta characteristic  $(\vec{a},\vec{b})$ . Notice that  $\theta_{\vec{a},\vec{b}}$  is an even function if and only if  $(2\vec{a})\cdot(2\vec{b})\equiv 0\pmod{2}$  — indeed, one easily sees (via the change of variable  $\vec{n}\mapsto -\vec{n}-2\vec{a}$ ) that  $\theta_{\vec{a},\vec{b}}(-Z)=(-1)^{4\langle\vec{a},\vec{b}\rangle}\theta_{\vec{a},\vec{b}}(Z)$ . Characteristics  $(\vec{a},\vec{b})$  for which  $(2\vec{a})\cdot(2\vec{b})\equiv 0\pmod{2}$  are called *even*, and those for which this fails are called *odd*. Evidently there are exactly ten even theta characteristics, namely  $\vec{a}=\vec{b}=0$ , the six more with either  $\vec{a}=0$  or  $\vec{b}=0$ , the two with  $\{\vec{a},\vec{b}\}=\{(\frac{1}{2},0),(0,\frac{1}{2})\}$ , and  $\vec{a}=\vec{b}=(\frac{1}{2},\frac{1}{2})$ . The remaining six characteristics are odd.

Given a root  $\alpha$  of f, we will write  $R_{\alpha} := (\alpha, 0) \in C_f(\overline{\mathbb{Q}})$  for the corresponding point on  $C_f$ , whose image in  $J_f$  is two-torsion. If  $\alpha = \infty$  we will interpret  $R_{\alpha} = \infty$ . Note that

$$J_f(\mathbb{C})[2] = \{0\} \cup \{[R_{\alpha}] - [\infty] : f(\alpha) = 0\} \cup \{[R_{\alpha}] + [R_{\beta}] - 2[\infty] : \alpha \neq \beta, f(\alpha) = f(\beta) = 0\}.$$

For ease of notation we will write

$$Q_{\alpha,\beta} := [R_{\alpha}] + [R_{\beta}] - 2[\infty] \in J_f(\overline{\mathbb{Q}}).$$

Let now

$$\Theta := \operatorname{im}(j) = \operatorname{im}(C_f \to J_f) = \{ [P] - [\infty] : P \in C_f(\mathbb{C}) \} \subseteq J_f(\mathbb{C}),$$

the *theta divisor* of  $C_f$  in  $J_f$ . Regarding this theta divisor and these  $R_\alpha$  we have the following famous theorem of Riemann [16]:

**Theorem 14** (Riemann). Let  $(\vec{a}, \vec{b})$  be a theta characteristic. Then: there exists a unique  $Q \in J_f(\mathbb{C})[2]$  such that  $\operatorname{div}_0(\theta_{\vec{a},\vec{b}}) = \Theta + Q$ , where  $\operatorname{div}_0$  is the divisor of zeroes. Moreover, the odd theta characteristics are exactly those that correspond to a point in the image of  $C_f$  — i.e., either 0 or one of the form  $[R_\alpha] - [\infty]$ .

<sup>&</sup>lt;sup>8</sup>Indeed these are the only two-torsion points in the image of  $C_f$ , since otherwise the existence of a nontrivial linear equivalence  $R_{\alpha}+R_{\beta}\sim P+\infty$  would imply that there is a nonconstant meromorphic function f on  $C_f(\mathbb{C})$  with  $\mathrm{div}_{\infty}(f)\leq P+\infty$ , which is contradicted by Riemann-Roch  $(h^0(\infty-P)=0$  since otherwise  $C_f$  would have a degree 1 map to  $\mathbb{P}^1$ , thus have genus 0).

Here we have used the abuse of notation  $\Theta + Q := \{([P] - [\infty]) + Q : P \in C_f(\mathbb{C})\}$  for the translate of the theta divisor by the two-torsion point Q. (Note in particular that, for an even theta characteristic  $(\vec{a}, \vec{b})$ ,  $\theta_{\vec{a}, \vec{b}}(0) \neq 0$  since  $0 \notin \Theta + Q_{\alpha, \beta}$ ! Indeed, otherwise we would have some P for which  $h^0(P + \infty) = 2$ , which would be a contradiction by Riemann-Roch.)

Of course by considering cardinalities we see that the six odd characteristics are in bijection with the five roots of f plus the point at infinity, and the ten even characteristics are likewise in bijection with the ten pairs of finite roots of f.

Note also that a natural question is which characteristic  $(\vec{a}, \vec{b})$  has  $\operatorname{div}_0(\theta_{\vec{a}, \vec{b}}) = \Theta$  — i.e., which characteristic corresponds to  $0 \in J_f(\mathbb{C})$ . The answer is given by a theorem of Mumford (see e.g. Theorem 5.3 in [13]).

## Theorem 15 (Mumford).

$$\operatorname{div}_0(\theta_{\left(\frac{1}{2},\frac{1}{2}\right),\left(0,\frac{1}{2}\right)}) = \Theta.$$

Henceforth we will write

$$\chi_{\infty} := \left( \left(\frac{1}{2}, \frac{1}{2}\right), \left(0, \frac{1}{2}\right) \right),$$

call our characteristics  $\chi$ , and, for  $\chi=(\vec{a},\vec{b})$ , we will write  $\chi_a:=\vec{a}$  and  $\chi_b:=\vec{b}$ . We will also write  $\chi_\rho$  for the odd characteristic corresponding to the root  $\rho$  of f. We will further write  $P_\rho:=j(R_\rho)$ , and  $\tilde{P}_\rho:=(0,1,\rho,\rho^2)\in \tilde{K}_f(\mathbb{C})$  for a lift of  $P_\rho$ , regarded as a point of  $K_f(\mathbb{C})$ , to  $\mathbb{A}^4$ . Let also  $\ell_\rho$  be the following linear form:

$$\ell_{\rho}(w, x, y, z) := \rho^2 w - \rho x + y.$$

Let us identify a lift of  $P_{\rho}$  along our uniformization  $\Psi_f: \mathbb{C}^2/(\mathbb{Z}^2 + \tau_f \cdot \mathbb{Z}^2) \simeq J_f(\mathbb{C})$ . Write, for  $\chi$  a theta characteristic,

$$\tilde{\chi} := \chi_b + \tau_f \cdot \chi_a \in \mathbb{C}^2.$$

## Lemma 16.

$$P_{\rho} = \Psi_f(\tilde{\chi}_{\infty} + \tilde{\chi}_{\rho}).$$

*Proof.* Since  $K_f(\mathbb{C})[2] \cap \operatorname{div}_0(\theta_{\chi_\rho}) \cap \operatorname{div}_0(\theta_{\chi_\infty}) = \{0, P_\rho\}$ , it suffices to show that both these theta functions vanish at this point, since were  $\tilde{\chi}_\infty + \tilde{\chi}_\rho \in \mathbb{Z}^2 + \tau_f \cdot \mathbb{Z}^2$ , it would follow first that  $(\chi_\infty)_a = (\chi_\rho)_a$ , and then that  $(\chi_\infty)_b = (\chi_\rho)_b$ , a contradiction. But, by explicit calculation, for  $\chi, \eta$  theta characteristics,

$$\theta_{\chi}(\tilde{\eta}) = \theta_{\chi+\eta}(0) \cdot e\left(-\frac{1}{2} \langle \eta_a, \tau_f \cdot \eta_a \rangle - \langle \eta_a, \chi_b + \eta_b \rangle\right).$$

Taking  $\eta = \chi_{\infty} + \chi_{\rho}$  and  $\chi = \chi_{\rho}$  or  $\chi_{\infty}$  gives us the vanishing of both theta functions, as desired.

We note here that, as is e.g. easily verified case-by-case, for  $\chi, \chi', \chi''$  distinct odd characteristics,  $\chi + \chi' + \chi''$  is even.

Next let us analyze the vanishing locus of  $\ell_{\rho}$ .

## Lemma 17.

$$\operatorname{div}_0(\ell_\rho) \cap K_f(\mathbb{C}) = 2\operatorname{div}_0(\theta_{\chi_\rho}) = 2(\Theta + P_\rho) \pmod{\pm 1}.$$

*Proof.* Notice that, from the addition law, if  $P \in K_f(\mathbb{C})$  is not  $\infty$  or  $P_o$ , then

$$\ell_{\rho}(\kappa(P+P_{\rho})_1,\ldots,\kappa(P+P_{\rho})_4)=0.$$

The statement is also true for  $P=\infty$  and  $P=P_{\rho}$  by construction. This determines  $\ell_{\rho}$  up to constants (and thus determines its zero divisor uniquely, since e.g.  $\ell_{\rho}(P_{\gamma}) \neq 0$  for  $\gamma \neq \rho$  a root

<sup>&</sup>lt;sup>9</sup>This is not strictly necessary for our arguments, though it is convenient to use the form of  $\chi_{\infty}$  below.

of f) inside  $\kappa^*\mathcal{O}_{\mathbb{P}^3}(1)(K_f)$ . Now since the embedding is via  $\mathcal{L}_{\Theta}^{\otimes 2}$ , and since  $\theta_{\chi_{\rho}}^2$ , a section of this bundle, also satisfies these criteria (up to scaling by a nonzero constant), the conclusion follows from Riemann's theorem. Alternatively, one could see this by explicit computation.

Now we have enough information to study  $\hat{\lambda}_{\infty}$ . For notational ease we will write

$$\Xi_\chi(Z) := \theta_\chi(Z) \cdot e^{-\pi \left\langle \Im \mathfrak{m} \; Z, (\Im \mathfrak{m} \; \tau_f)^{-1} \cdot \Im \mathfrak{m} \; Z \right\rangle}.$$

Note that  $|\Xi_{\chi}|$  is invariant under translation by  $\mathbb{Z}^2 + \tau_f \cdot \mathbb{Z}^2$ , and thus descends to a function on  $J_f(\mathbb{C}) - \operatorname{Supp}(\Theta + P_{\rho})$ , and even further to  $K_f(\mathbb{C}) - \operatorname{Supp}(\Theta + P_{\rho})$  as well, thanks to the absolute value.

**Lemma 18.** Let  $\rho$  be a root of f. Let  $\ell_{\rho}(w, x, y, z) := \rho^2 w - \rho x + y$ . Then there exists a constant  $c_{\rho} \in \mathbb{R}$  such that the following formula holds. Let  $R \in K_f(\mathbb{Q}) - \operatorname{Supp}(\Theta + P_{\rho})$ . Let  $\tilde{R} \in \tilde{K}_f \subseteq \mathbb{A}^4$  be a lift of R under the canonical projection  $\mathbb{A}^4 - \{0\} \twoheadrightarrow \mathbb{P}^3$ . Let  $Z \in [-\frac{1}{2}, \frac{1}{2}]^{\times 2} + \tau_f \cdot [-\frac{1}{2}, \frac{1}{2}]^{\times 2} \subseteq \mathbb{C}^2$  (a fundamental domain of  $\mathbb{Z}^2 + \tau_f \cdot \mathbb{Z}^2$ ) be such that  $\Psi_f(Z) \pmod{\pm 1} = R$  under the map  $\mathbb{C}^2/(\mathbb{Z}^2 + \tau_f \cdot \mathbb{Z}^2) \simeq J_f(\mathbb{C}) \twoheadrightarrow K_f(\mathbb{C})$ . Then:

$$\hat{\lambda}_{\infty}(\tilde{R}) = -\log \left| \Xi_{\chi_{\rho}}(Z)^{2} \right| + \log |\ell_{\rho}(\tilde{R})| + c_{\rho}.$$

*Proof.* Note that  $\hat{\lambda}_{\infty}(\tilde{R}) - \log |\ell_{\rho}(\tilde{R})|$  is invariant under scaling, whence it descends to a function on  $K_f(\mathbb{C}) - \operatorname{Supp}(\Theta + P_{\rho})$ . Now note that

$$\left[\hat{\lambda}_{\infty}(2\tilde{R}) - \log|\ell_{\rho}(2\tilde{R})|\right] - 4\left[\hat{\lambda}_{\infty}(\tilde{R}) - \log|\ell_{\rho}(\tilde{R})|\right] = -\log\left|\frac{\ell_{\rho}(2\tilde{R})}{\ell_{\rho}(\tilde{R})^{4}}\right|$$

by definition of  $\hat{\lambda}_{\infty}$ .

Now since

$$\left[-\log\left|\Xi_{\chi_{\rho}}(2Z)^{2}\right|\right] - 4\left[-\log\left|\Xi_{\chi_{\rho}}(Z)^{2}\right|\right] = -\log\left|\frac{\theta_{\chi_{\rho}}(2Z)^{2}}{\theta_{\chi_{\rho}}(Z)^{8}}\right|,$$

and in both cases the right-hand sides are of the form  $-\log |G|$  with G a function on  $K_f$  with  $\operatorname{div}_0(G) = [2\cdot]^*(2(\Theta+P_\rho)) - 4\cdot(2(\Theta+P_\rho))$ , and both  $\hat{\lambda}_\infty(\tilde{R}) - \log |\ell_\rho(\tilde{R})|$  and  $-\log \left|\Xi_{\chi_\rho}(Z)^2\right|$  are defined on  $K_f(\mathbb{C}) - \operatorname{Supp}(\Theta+P_\rho)$ , it follows that the two functions must differ by a constant. Indeed, since e.g. by the Baire category theorem  $K_f(\mathbb{C}) - \bigcup_{k \in \mathbb{Z}} [2^k \cdot]^{-1}(\operatorname{Supp}(\Theta+P_\rho))$  is topologically dense (in the Archimedean topology), for P any element of this set we have seen that, writing  $F(P) := \hat{\lambda}_\infty(\tilde{P}) - \log |\ell_\rho(\tilde{P})| + \log \left|\Xi_{\chi_\rho}(\Psi_f^{-1}(P))^2\right|$ ,

$$F(2^{n+1}P) - 4F(2^nP)$$

is independent of P and n, since we have seen that this difference must be constant (since two meromorphic functions with the same divisor of zeroes must differ by a multiplicative constant).

$$\ell_{\infty}(w, x, y, z) := w,$$

and, for  $\chi_{\alpha,\beta}$ ,

$$\ell_{\alpha,\beta}(w,x,y,z) := \frac{2a_5 + a_4(\alpha+\beta) + 2a_3\alpha\beta + a_2\alpha\beta(\alpha+\beta) + \alpha^2\beta^2(\alpha+\beta)}{(\alpha-\beta)^2} \cdot w + \alpha\beta \cdot x - (\alpha+\beta) \cdot y + z.$$

The modification of the rest of the theorem (replacing  $P_{\rho}$  with  $P_{\alpha}+P_{\beta}$ , etc.) is straightforward.

 $<sup>^{10}</sup>$ For the same theorem for other theta characteristics  $\chi$ , one has to modify the linear form  $\ell_{\chi}$  — for  $\chi_{\infty}$ ,

 $<sup>^{11}</sup>$ For a more explicit way to see this, see the attached Mathematica document. The point is that, via Yoshitomi's [24] formulas (stated in Grant [8] and originally from H.F. Baker's 1907 book, [1]), one can express the quotient of theta functions considered above in terms of the x- and y-coordinates of the corresponding points in the corresponding divisor in the Jacobian, and now one is comparing two rational functions of x- and y-coordinates. The Mathematica document does this in the case of  $\chi = \chi_{\infty}$  — the other cases are obtained by translating by the corresponding two-torsion point.

Hence  $F(P) = \frac{1}{4^n}F(2^nP) + O_F(4^{-n})$  for all P in this set. By choosing a sequence  $n_i$  such that  $2^{n_i}P$  converges to a point in  $K_f(\mathbb{C}) - \bigcup_{k \in \mathbb{Z}} [2^k \cdot]^{-1}(\operatorname{Supp}(\Theta + P_\rho))$  in the Archimedean topology (one exists since otherwise P must be a torsion point, else its multiples would be dense in a nontrivial abelian subvariety of  $K_f(\mathbb{C})$ , whence in particular there would be a subsequence converging to some  $2^NP$  with N sufficiently large. But all two-power torsion points are excluded, and any other torsion points will become, after multiplying by a suitably high power of 2, odd order. But an odd order torsion point has periodic orbit under the multiplication by 2 map.), we see that F(P) = 0, and so, by continuity, we see that in fact F = 0 on the whole of  $K_f(\mathbb{C}) - \operatorname{Supp}(\Theta + P_\rho)$ , as desired.

Let us apply this to the case of  $\chi_{\rho}$ . We find then that:

**Corollary 19.** *Let*  $\alpha \neq \beta$  *be roots of* f. *Then:* 

$$c_{\beta} = 2\log|\theta_{\chi_{\beta} + \chi_{\infty} + \chi_{\alpha}}(0)| + \log\frac{|f'(\alpha)|^{\frac{1}{2}}}{|\alpha - \beta|}.$$

*Proof.* Apply Lemma 18 to the point  $P_{\alpha}$  and note that

$$\hat{\lambda}_{\infty}(\tilde{P}_{\alpha}) = \frac{1}{4}\hat{\lambda}_{\infty}(2\tilde{P}_{\alpha}) = \frac{1}{2}\log|f'(\alpha)|,$$

since

$$(\delta_1(\tilde{P}_\alpha),\ldots,\delta_4(\tilde{P}_\alpha))=(0,0,0,f'(\alpha)^2).$$

Finally, we use the already-used fact that, for  $\chi$ ,  $\eta$  theta characteristics,

$$\theta_{\chi}(\tilde{\eta}) = \theta_{\chi+\eta}(0) \cdot e\left(-\frac{1}{2} \langle \eta_a, \tau_f \cdot \eta_a \rangle - \langle \eta_a, \chi_b + \eta_b \rangle\right).$$

Let us note here that the (extremely nonobvious) constancy of the right-hand side in  $\alpha$  amounts essentially to Thomae's formula for the theta constants of this curve(!).

Thus we have an expression for the canonical local height at infinity. We will only use a crude lower bound <sup>12</sup> for the last term, so let us get rid of it now:

**Lemma 20.** *Let*  $\alpha \neq \beta$  *be roots of f. Then:* 

$$c_{\beta} \ge 2\log|\theta_{\chi_{\beta}+\chi_{\infty}+\chi_{\alpha}}(0)| + \frac{1}{4}\log|\Delta_f| - 4h(f) - O(1).$$

*Proof.* Since  $|\rho| \ll H(f)$  for all roots  $\rho$  of f (see Lemma 32), it follows that, for all  $\rho, \rho'$  roots of f,

$$|\rho - \rho'| \ll H(f)$$

as well. (Thus e.g.  $|\alpha - \beta| \ll H(f)$ .)

Now since

$$|f'(\alpha)| = \prod_{\rho \neq \alpha} |\rho - \alpha|,$$

it follows that

$$|\Delta_f| = \prod_{\rho \neq \rho'} |\rho - \rho'|^2 = |f'(\alpha)|^2 \cdot \prod_{\rho, \rho' \neq \alpha, \rho \neq \rho'} |\rho - \rho'|^2 \ll |f'(\alpha)|^2 \cdot H(f)^{12}.$$

 $<sup>^{12} \</sup>text{In fact } \max_{\alpha \neq \beta: f(\alpha) = f(\beta) = 0} \frac{|f'(\alpha)|^{\frac{1}{2}}}{|\alpha - \beta|} \gg H(f). \text{ To see this, take } \alpha \text{ to be a root with maximal absolute value, and } \beta \text{ to be the root closest to } \alpha. \text{ Since } 5\alpha = \sum_{\rho \neq \alpha: f(\rho) = 0} \alpha - \rho, \text{ it follows that } 5|\alpha| \leq \sum_{\rho \neq \alpha: f(\rho) = 0} |\alpha - \rho|. \text{ Since each } |\alpha - \rho| \leq 2|\alpha|, \text{ at least three of the } \rho \text{ (namely, all the other roots besides } \alpha \text{ and } \beta) \text{ must satisfy } |\alpha - \rho| \gg |\alpha|. \text{ Since } |\alpha| \asymp H(f), \text{ it follows that } \frac{|f'(\alpha)|^{\frac{1}{2}}}{|\alpha - \beta|} \gg H(f)^{\frac{3}{2}} \cdot |\alpha - \beta|^{-\frac{1}{2}}, \text{ and the claim follows.}$ 

That is to say,

$$|f'(\alpha)| \gg \frac{|\Delta_f|^{\frac{1}{2}}}{H(f)^6}.$$

Combining all these with Lemma 19 gives the claim.

Next we will show that we may ignore the contribution of the theta function. Let us first upper bound  $\Xi_\chi(Z)$  — at first uniformly, and then in the special case of  $Z=A+\tau_f\cdot B$  with  $A,B\in [-\epsilon,\epsilon]^{\times 2}$  we will obtain a significantly stronger bound.

**Lemma 21.** Let  $\chi$  be a theta characteristic. Then: for any  $Z \in \mathbb{C}^2$ ,

$$|\Xi_{\chi}(Z)| \ll 1.$$

*Proof.* By double periodicity, it suffices to take  $Z \in [-\frac{1}{2}, \frac{1}{2}]^{\times 2} + \tau_f \cdot [-\frac{1}{2}, \frac{1}{2}]^{\times 2}$ , a fundamental domain for  $\mathbb{Z}^2 + \tau_f \cdot \mathbb{Z}^2$  in  $\mathbb{C}^2$ . We will bound  $\Xi$  "trivially" — i.e., via the triangle inequality. Note that, for such Z,

$$|\Xi_{\chi}(Z)| \leq \sum_{\vec{n} \in \mathbb{Z}^2} e^{-\pi \left( \langle \vec{n} + \vec{a}, \Im \mathfrak{m} \; \tau_f \cdot (\vec{n} + \vec{a}) \rangle + 2 \langle \vec{n} + \vec{a}, \Im \mathfrak{m} \; Z \rangle + \left\langle \Im \mathfrak{m} \; Z, (\Im \mathfrak{m} \; \tau_f)^{-1} \cdot \Im \mathfrak{m} \; Z \right\rangle \right)}.$$

Now the term in the exponent is just

$$\begin{split} & \langle \vec{n} + \vec{a}, \Im\mathfrak{m}\,\tau_f \cdot (\vec{n} + \vec{a}) \rangle + 2\,\langle \vec{n} + \vec{a}, \Im\mathfrak{m}\,Z \rangle + \left\langle \Im\mathfrak{m}\,Z, (\Im\mathfrak{m}\,\tau_f)^{-1} \cdot \Im\mathfrak{m}\,Z \right\rangle \\ & = \left\langle \vec{n} + \vec{a} + (\Im\mathfrak{m}\,\tau_f)^{-1} \cdot \Im\mathfrak{m}\,Z, \Im\mathfrak{m}\,\tau_f \cdot (\vec{n} + \vec{a} + (\Im\mathfrak{m}\,\tau_f)^{-1} \cdot \Im\mathfrak{m}\,Z) \right\rangle, \end{split}$$

i.e. we have completed the square. Now since  $\Im \pi \tau_2 \geq \Im \pi \tau_1 \geq 2\Im \pi \tau_{12} > 0$  and  $\Im \pi \tau_1 \geq \frac{\sqrt{3}}{2}$ , we have that (by considering  $\frac{\det \Im \pi \tau}{\operatorname{Tr} \Im \pi \tau}$ ) the eigenvalues of  $\Im \pi \tau$  are both  $\gg 1$ . It follows that

$$\langle v, (\mathfrak{Im}\,\tau)\cdot v\rangle \gg ||v||_2^2$$

for any  $v \in \mathbb{C}^2$ . Applying this to  $\vec{n} + \vec{a} + (\mathfrak{Im}\,\tau)^{-1} \cdot \mathfrak{Im}\,Z$ , we find that this term is

$$\gg ||\vec{n} + \vec{a} + (\mathfrak{Im}\,\tau)^{-1} \cdot \mathfrak{Im}\,Z||_2^2$$
.

Now since  $Z \in [-\frac{1}{2}, \frac{1}{2}]^{\times 2} + \tau_f \cdot [-\frac{1}{2}, \frac{1}{2}]^{\times 2}$ , it follows that  $(\mathfrak{Im}\,\tau)^{-1} \cdot \mathfrak{Im}\,Z \in [-\frac{1}{2}, \frac{1}{2}]$ , so that

$$||\vec{n} + \vec{a} + (\Im \mathfrak{m} \, \tau)^{-1} \cdot \Im \mathfrak{m} \, Z||_2^2 \gg ||\vec{n}||_2^2 - O(1).$$

Therefore we have found that

$$|\Xi_{\chi}(Z)| \ll \sum_{\vec{n} \in \mathbb{Z}^2} e^{-\Omega(||\vec{n}||_2^2)} \ll 1,$$

as desired. 

✓

Having uniformly upper bounded the size of  $\Xi_\chi(Z)$ , we will now determine the size of  $\Xi_\chi(Z)$  when  $Z=A+\tau_f\cdot B$  and  $A,B\in [-\epsilon,\epsilon]^{\times 2}$  — in particular, we will also determine the size of the theta constants  $\Xi_{\alpha,\beta}(0)$ . To do this, we will simply use Proposition 7.6 of [22] (originally from [12]), though we will modify it slightly by allowing the argument of the theta function to range in a very small neighbourhood about  $0.^{13}$  By running the same analysis as is done in [22] (thus in [12] — just factor out the relevant exponential and observe that the negative-definite quadratic form in the exponent is strictly smaller away from the closest points to the origin, and then compute explicitly for those points), we find:

<sup>&</sup>lt;sup>13</sup>While the extension to  $Z \neq 0$  sufficiently close to 0 is not necessary for our argument, if one is using the canonical height with an even characteristic (and partitioning the fundamental domain as we do in our argument) this generalized proposition is quite useful, and thus I have seen fit to include it.

**Proposition 22** (Cf. Proposition 7.6 in [22].). Let  $Z = A + \tau_f \cdot B \in \mathbb{C}^2$  be such that  $||A||, ||B|| \ll \epsilon$ , with  $\epsilon \ll 1$  sufficiently small. Then:<sup>14</sup>

$$\begin{split} &\theta_{0,0,0,0}(Z) \asymp 1, \\ &\theta_{0,0,\frac{1}{2},0}(Z) \asymp 1, \\ &\theta_{0,0,\frac{1}{2},\frac{1}{2}}(Z) \asymp 1, \\ &\theta_{0,0,\frac{1}{2},\frac{1}{2}}(Z) \asymp 1, \\ &|\theta_{\frac{1}{2},0,0,0}(Z)| \asymp e^{-\frac{\pi}{4}\,\Im\mathfrak{m}\,\tau_1 + O(\epsilon\,\Im\mathfrak{m}\,\tau_2)}, \\ &|\theta_{\frac{1}{2},0,0,\frac{1}{2}}(Z)| \asymp e^{-\frac{\pi}{4}\,\Im\mathfrak{m}\,\tau_1 + O(\epsilon\,\Im\mathfrak{m}\,\tau_2)}, \\ &|\theta_{0,\frac{1}{2},0,0}(Z)| \asymp e^{-\frac{\pi}{4}\,\Im\mathfrak{m}\,\tau_2 + O(\epsilon\,\Im\mathfrak{m}\,\tau_2)}, \\ &|\theta_{0,\frac{1}{2},\frac{1}{2},0}(Z)| \asymp e^{-\frac{\pi}{4}\,\Im\mathfrak{m}\,\tau_2 + O(\epsilon\,\Im\mathfrak{m}\,\tau_2)}, \\ &|\theta_{0,\frac{1}{2},\frac{1}{2},0}(Z)| \asymp e^{-\frac{\pi}{4}\,\Im\mathfrak{m}\,\tau_2 + O(\epsilon\,\Im\mathfrak{m}\,\tau_2)}, \\ &|\theta_{\frac{1}{2},\frac{1}{2},0,0}(Z)| \asymp e^{-\frac{\pi}{4}\,\Im\mathfrak{m}\,\tau_1 + \Im\mathfrak{m}\,\tau_2 - 2\,\Im\mathfrak{m}\,\tau_{12}) + O(\epsilon\,\Im\mathfrak{m}\,\tau_2)}, \\ &|\theta_{\frac{1}{2},\frac{1}{2},\frac{1}{2},\frac{1}{2}}(Z)| \asymp \left|\cos\left(\pi(Z_1+Z_2)\right)e\left(\frac{\tau_{12}}{2}\right) - \cos\left(\pi(Z_1-Z_2)\right)\right| \cdot e^{-\frac{\pi}{4}(\Im\mathfrak{m}\,\tau_1 + \Im\mathfrak{m}\,\tau_2 - 2\,\Im\mathfrak{m}\,\tau_{12})}. \end{split}$$

Note, of course, that for any Z we also have that

$$-\log|\Xi_\chi(Z)| = -\log|\theta_\chi(Z)| + \pi \left\langle \mathfrak{Im}\,Z, (\mathfrak{Im}\,\tau_f)^{-1} \cdot \mathfrak{Im}\,Z \right\rangle \geq -\log|\theta_\chi(Z)|$$

by positive-definiteness of  $\mathfrak{Im}\,\tau_f$ , so for the purposes of lower bounds it suffices to just deal with the asymptotics of  $\theta_{\chi}$  near 0.

Now let us analyze the constants  $c_{\rho}$ .

**Lemma 23.** There is a root  $\rho_*$  of f such that, for all roots  $\rho \neq \rho_*$  of f,

$$c_{\rho} \ge \frac{1}{4} \log |\Delta_f| - 4h(f) - O(1).$$

*Proof.* Observe that, for each  $\alpha$  such that  $(\chi_{\alpha})_a \neq (\frac{1}{2}, \frac{1}{2})$ , there is a  $\beta$  such that  $(\chi_{\beta} + \chi_{\infty} + \chi_{\alpha})_a = (0,0)$ . Indeed,  $(\chi_{\infty} + \chi_{\alpha})_a \neq (0,0) \in \frac{1}{2}\mathbb{Z}^2/\mathbb{Z}^2$ , and so choosing an odd characteristic  $\chi \neq \chi_{\alpha}, \chi_{\infty}$  with  $\chi_a = (\chi_{\infty} + \chi_{\alpha})_a$ , the claim follows from Lemma 20 and Proposition 22.

We note here that this immediately implies a lower bound on the canonical local height at infinity for points very close to  $[0,0,0,1] \in K_f(\mathbb{C})$  in the Archimedean topology.

**Lemma 24.** Let  $\tilde{P} =: (\tilde{P}_1, \dots, \tilde{P}_4) \in \tilde{K}_f(\mathbb{Q})$  be such that  $|\tilde{P}_{i+1}| \gg \delta^{-\delta^{-1}} H(f) |\tilde{P}_i|$  for  $1 \leq i \leq 3$ . Then:

$$\hat{\lambda}_{\infty}(\tilde{P}) = \lambda_{\infty}(\tilde{P}) + O(\delta h(f)).$$

*Proof.* We note that  $2^N \tilde{P}$  has the same property for  $N \ll \delta^{-1}$ , where we define, for  $\tilde{R} \in \tilde{K}_f(\mathbb{C})$ ,

$$2\tilde{R} := (\delta_1(\tilde{R}), \dots, \delta_4(\tilde{R})).$$

Indeed, by the explicit formulas and the dominance of  $P_4$  in all expressions, we find that, if  $|\tilde{R}_{i+1}| \geq C \cdot \delta^{-\delta^{-1}} H(f) |\tilde{R}_i|$ , then

$$(2\tilde{R})_i \simeq R_i \cdot R_4^3$$

whence, for  $1 \le i \le 3$ ,

$$|(2\tilde{R})_{i+1}| \ge \delta \cdot C \cdot \delta^{-\delta^{-1}} H(f) |(2\tilde{R})_i|.$$

<sup>&</sup>lt;sup>14</sup>Note that we have omitted the absolute values in the first four asymptotics: here, by  $C \asymp 1$  we mean that there are positive absolute constants  $\kappa > \kappa' > 0$  such that  $|C - \kappa| \le \kappa'$ . This technically clashes with our definition of the symbol  $\asymp$ , hence this explanation. Note that the condition for C implies it for  $\Re C$ .

It follows that, for  $N \simeq \delta^{-1}$ ,

$$\frac{1}{4^N}\lambda_{\infty}(2^N\tilde{P}) = \lambda_{\infty}(\tilde{P}) + O(1).$$

(Here we have dropped the N in  $O_N(1)$  since  $\delta$  is a (very, very small) constant.) Note also that, for  $\rho$  a root of f, since  $|\rho| \ll H(f)$  (see Lemma 32),

$$\ell_{\rho}(2^N \tilde{P}) \simeq (2^N \tilde{P})_3.$$

Now using Lemmas 18, 21, and 23 on  $2^N \tilde{P}$ , we find that

$$\hat{\lambda}_{\infty}(\tilde{P}) \ge \frac{1}{4^N} \lambda_{\infty}(2^N \tilde{P}) + O(4^{-N} h(f)),$$

whence the lower bound.

As for the upper bound, observe instead that  $\lambda_{\infty}(2R) \leq 4\lambda_{\infty}(R) + O(h(f))$  by the explicit formulas, and then apply the Tate telescoping series to get that  $\hat{\lambda}_{\infty}(R) \leq \lambda_{\infty}(R) + O(h(f))$ . Now use the above argument, except with this upper bound.

We find as corollaries the case of  $\kappa(P)$  with  $P \in C_f(\mathbb{Q})$  with large x-coordinate, as well as the case of  $\kappa(P-Q)$  with  $P \neq \pm Q \in C_f(\mathbb{Q})$  both with large x-coordinates.

**Corollary 25.** Let  $P \in C_f(\mathbb{Q})$  with  $|x(P)| \gg \delta^{-\delta^{-1}}H(f)$ . Then:

$$\hat{\lambda}_{\infty}(\kappa(P)) = \lambda_{\infty}(\kappa(P)) - O(\delta h(f)).$$

*Proof.* Since  $\kappa(P) = [0, 1, x(P), x(P)^2]$ , the hypothesis of Lemma 24 follows.

We also get a similarly strong statement for differences of two points with large x-coordinate. 15

**Lemma 26.** Let  $P \neq \pm Q \in C_f(\mathbb{Q})$  with  $|x(P)|, |x(Q)| \gg \delta^{-\delta^{-1}}H(f)$  with  $y(P), y(Q) \geq 0$ . Then:

$$\hat{\lambda}_{\infty}(P-Q) \ge \frac{1}{2}\lambda_{\infty}(\kappa(P)) + \frac{1}{2}\lambda_{\infty}(\kappa(Q)) + h(f) - O(\delta h(f)).$$

*Proof.* Write P=:(X,Y) and Q=:(x,y). By switching, we may assume without loss of generality that  $|X|\geq |x|$ . Note that, by hypothesis, since  $X^5\sim f(X)=Y^2\geq 0$ , it follows that  $X\geq 0$ , and similarly for x. Now let us examine the image of P-Q in  $K_f(\mathbb{C})$  — that is, the coordinates of

$$\left(1, X+x, Xx, \frac{2a_5+a_4(X+x)+2a_3Xx+a_2Xx(X+x)+X^2x^2(X+x)+2Yy}{(X-x)^2}\right).$$

The first three coordinates certainly satisfy the hypotheses of Lemma 24, so let us show that the fourth coordinate is  $\gg x^2 X$ , which suffices since  $|x| \gg \delta^{-\delta^{-1}} H(f)$ . We bound the denominator by  $|(X-x)^2| \ll X^2$  and note that the numerator is  $X^2 x^2 (X+x) + 2Yy + O(H(f)^2 X^2 x)$ . Now since  $Y^2 = f(X) = X^5 \cdot (1+O(\delta))$ , it follows that  $Y \geq X^{\frac{5}{2}} \cdot (1+O(\delta))$ , and similarly for y. Thus the numerator is  $\gg X^3 x^2$ , as desired. Now Lemma 24 applies and we are done.

It remains to analyze  $c_{\beta}$  when  $\chi_{\beta} = \left( \left( \frac{1}{2}, \frac{1}{2} \right), \left( \frac{1}{2}, 0 \right) \right)$ .

$$\hat{\lambda}_{\infty}(P-Q) \ge \frac{1}{2} \max(\lambda_{\infty}(\kappa(P)), \lambda_{\infty}(\kappa(Q))) + \min(\lambda_{\infty}(\kappa(P)), \lambda_{\infty}(\kappa(Q))) - O(\delta h(f)),$$

which plays a role in bounding the number of *integral* points on these curves — e.g. for large points it results in a gap principle of shape  $\cos\theta \leq \frac{1}{4}$ , matching the Mumford gap principle for integral points observed by Helfgott and Helfgott-Venkatesh: one expects a right-hand side of  $\frac{1}{g}$  for rational points, and  $\frac{1}{2g}$  for integral points.

<sup>&</sup>lt;sup>15</sup>In fact we have the slightly stronger bound

**Lemma 27.** Let  $\beta$  be such that  $\chi_{\beta} = \left( \left( \frac{1}{2}, \frac{1}{2} \right), \left( \frac{1}{2}, 0 \right) \right)$ . Then:

$$c_{\beta} \ge -\frac{\pi}{2} \Im \mathfrak{m} \, \tau_1 + \frac{1}{4} \log |\Delta_f| - 4h(f) - O(1).$$

*Proof.* We will take  $\alpha$  such that  $\chi_{\alpha} = \left( \left( \frac{1}{2}, 0 \right), \left( \frac{1}{2}, \frac{1}{2} \right) \right)$ , so that

$$\chi_{\beta} + \chi_{\infty} + \chi_{\alpha} = \left( \left( \frac{1}{2}, 0 \right), (0, 0) \right).$$

It follows that, by Proposition 22,

$$|\theta_{\chi_{\beta}+\chi_{\infty}+\chi_{\alpha}}(0)| \approx e^{-\frac{\pi}{4}\Im \mathfrak{m} \, \tau_1},$$

as desired. ✓

We will next show that, when  $Z = A + \tau_f \cdot B$  and  $||A||, ||B|| \ll \epsilon$ , the extra  $\frac{\pi}{2} \Im \pi \tau_1$  term in the lower bound for  $c_\beta$  will be cancelled by an improved upper bound on  $\theta_{\chi_\beta}$ .

**Lemma 28.** Let  $\beta$  be such that  $\chi_{\beta} = \left(\left(\frac{1}{2}, \frac{1}{2}\right), \left(\frac{1}{2}, 0\right)\right)$ . Let  $||A||, ||B|| \ll \epsilon$  and  $Z := A + \tau_f \cdot B$ . Then:  $|\Xi_{\chi_{\beta}}(Z)| \ll e^{-(1-O(\epsilon))\frac{\pi}{4}\Im \pi \tau_1}$ .

*Proof.* Observe that

$$\begin{split} |\Xi_{\chi_{\beta}}(Z)| &\leq \sum_{(n_1,n_2) \in \mathbb{Z}^2} e^{-\pi \left\langle \left(\begin{array}{c} n_1 + \frac{1}{2} \\ n_2 + \frac{1}{2} \end{array}\right), \mathfrak{Im}\,\tau_f \cdot \left(\begin{array}{c} n_1 + \frac{1}{2} \\ n_2 + \frac{1}{2} \end{array}\right) \right\rangle - 2\pi \left\langle \left(\begin{array}{c} n_1 + \frac{1}{2} \\ n_2 + \frac{1}{2} \end{array}\right), \mathfrak{Im}\,\tau_f \cdot B \right\rangle - \pi \langle B, \mathfrak{Im}\,\tau_f \cdot B \rangle} \\ &= \sum_{(n_1,n_2) \in \mathbb{Z}^2} e^{-\pi \left\langle \left(\begin{array}{c} n_1 + \frac{1}{2} \\ n_2 + \frac{1}{2} \end{array}\right) + B, \mathfrak{Im}\,\tau_f \cdot \left(\left(\begin{array}{c} n_1 + \frac{1}{2} \\ n_2 + \frac{1}{2} \end{array}\right) + B\right) \right\rangle}. \end{split}$$

Now the quadratic form

$$\begin{split} & \left\langle \left( \begin{array}{c} n_1 + \frac{1}{2} \\ n_2 + \frac{1}{2} \end{array} \right) + B, \Im \mathfrak{m} \, \tau_f \cdot \left( \left( \begin{array}{c} n_1 + \frac{1}{2} \\ n_2 + \frac{1}{2} \end{array} \right) + B \right) \right\rangle \\ &= (\Im \mathfrak{m} \, \tau_1 - \Im \mathfrak{m} \, \tau_{12}) (n_1 + \frac{1}{2} + B_1)^2 + (\Im \mathfrak{m} \, \tau_{12}) (n_1 + n_2 + 1 + B_1 + B_2)^2 + (\Im \mathfrak{m} \, \tau_2 - \Im \mathfrak{m} \, \tau_{12}) (n_2 + \frac{1}{2} + B_2)^2. \\ &\geq \frac{\Im \mathfrak{m} \, \tau_1}{2} \left( (n_1 + \frac{1}{2} + B_1)^2 + (n_2 + \frac{1}{2} + B_2)^2 \right). \end{split}$$

 $\checkmark$ 

First, note that this is always  $\geq \frac{\Im \mathfrak{m} \, \tau_1}{4} (1 - O(\epsilon))$ . Moreover, once  $||\vec{n}|| \gg 1$ , we find that it is

$$\geq \frac{\Im \mathfrak{m}\,\tau_1}{4}\left(1+\Omega(||\vec{n}||_2^2)-O(\epsilon)\right),$$

from which the claim follows.

We will next upper bound  $\mathfrak{Im} \tau_1$  via a study of Igusa invariants.

Lemma 29.

$$\Im \mathfrak{m} \, \tau_1 \leq \frac{10}{\pi} h(f) - \frac{1}{3\pi} \log |\Delta_f| + O(1).$$

*Proof.* Consider the reduced Igusa invariant (note: this notation differs from Igusa's original, but follows Streng [22], at least up to normalizing (absolute) constants):

$$i_3(f) := \frac{I_4^5}{I_{10}^2},$$

 $\checkmark$ 

where, writing  $\rho_1, \ldots, \rho_5$  for the roots of f,

$$I_4 := \sum_{\sigma \in S_5} (\rho_{\sigma(1)} - \rho_{\sigma(2)})^2 (\rho_{\sigma(2)} - \rho_{\sigma(3)})^2 (\rho_{\sigma(3)} - \rho_{\sigma(1)})^2 (\rho_{\sigma(4)} - \rho_{\sigma(5)})^2 \cdot \rho_{\sigma(4)}^2 \cdot \rho_{\sigma(5)}^2$$

and

$$I_{10} := \Delta_f$$
.

(Here  $I_4$  is the usual Igusa-Clebsch invariant of the binary sextic  $\sum_{i=0}^5 a_i X^{5-i} Y^{i+1}$  — recall that one of the branch points of the curve is at  $\infty$ , thus the zero at Y=0. We have computed the invariant via  $(X,Y)\mapsto (Y,X)$ , which replaces the roots with their inverses, and the usual definition for sextics.) Note that, by Lemma 32,  $|I_4|\ll H(f)^{12}$ . It follows therefore that

$$|i_3(f)| \ll \frac{H(f)^{60}}{|\Delta_f|^2}.$$

Now, by [22] (originally in Igusa's [10] — see page 848 — and apparently already computed in Bolza's [3]), we have also that

$$i_3(f) = rac{\left(\sum_{\chi ext{ even }} heta_{\chi}(0)^8\right)^5}{\left(\prod_{\chi ext{ even }} heta_{\chi}(0)^2\right)^2}.$$

Applying Proposition 22 and assuming that  $\Im m \tau_1 \gg 1$  (else we're done), we find that:

$$|i_3(f)| \asymp \frac{e^{4\pi (\Im \mathfrak{m} \, \tau_1 + \Im \mathfrak{m} \, \tau_2 - \Im \mathfrak{m} \, \tau_{12})}}{\min(1, |\tau_{12}|)} \gg \max(e^{6\pi \, \Im \mathfrak{m} \, \tau_1}, e^{4\pi \, \Im \mathfrak{m} \, \tau_2}).$$

Combining this with

$$|i_3(f)| \ll \frac{H(f)^{60}}{|\Delta_f|^2}$$

gives the claim.

After all this work, we have finally found that:

**Corollary 30.** *Let*  $\tilde{P} \in \tilde{K}_f(\mathbb{C})$  *and*  $\rho$  *a root of* f. *Then:* 

$$\hat{\lambda}_{\infty}(\tilde{P}) \ge \log|\ell_{\rho}(\tilde{P})| + \frac{5}{12}\log|\Delta_f| - 9h(f) - O(\delta h(f)).$$

*Proof.* We simply combine Lemmas 18, 20, 21, and 29.

Moreover, as Lemma 28 shows, with an extra hypothesis the above bound can be significantly improved. Namely, we have the following:

**Corollary 31.** Let Z be a set-theoretic section of  $\mathbb{C}^2 \twoheadrightarrow \mathbb{C}^2/(\mathbb{Z}^2 + \tau_f \cdot \mathbb{Z}^2) \simeq J_f(\mathbb{C}) \twoheadrightarrow K_f(\mathbb{C})$ . Let  $\tilde{P} \in \tilde{K}_f(\mathbb{C})$  be such that  $Z(P) =: A + \tau_f \cdot B$  with  $||A||, ||B|| \ll \epsilon$ . Let  $\rho$  be a root of f. Then:

$$\hat{\lambda}_{\infty}(\tilde{P}) \ge \log |\ell_{\rho}(\tilde{P})| + \frac{1}{4} \log |\Delta_f| - 4h(f) - O(\epsilon h(f)).$$

*Proof.* Again combine Lemmas 18, 20, and 29, except now use Lemma 22.

We next claim that, in fact, these lower bounds are quite good for our purposes. To show this we will need to know something about the roots of f. Crucially, we will use that the  $x^4$  coefficient — the sum of the roots — vanishes. This will ensure that there are two roots of f that are  $\gg H(f)$  away from each other (and both of size  $\asymp H(f)$ ). First we must guarantee at least one root of size H(f) — this exists for totally general reasons.

Lemma 32.

$$\max_{f(\rho)=0} |\rho| \asymp H(f).$$

*Proof.* The upper bound follows from the fact that if  $|z| \ge 100H(f)$ , then  $|f(z)| \gg |z|^5$ . The lower bound follows from the fact that, if, for all  $\rho$  such that  $f(\rho) = 0$ ,  $|\rho| \le \frac{H(f)}{100}$ , then since

$$a_i = (-1)^{5-i} \sum_{S \in \binom{\text{roots}(f)}{i}} \prod_{\rho \in S} \rho,$$

we would have that

$$|a_i|^{\frac{1}{i}} < \frac{H(f)}{2}$$

 $\checkmark$ 

for all *i*, a contradiction.

Next we find the two large roots that are far away from each other.

**Lemma 33.**  $\exists \alpha \neq \beta : f(\alpha) = f(\beta) = 0$ , and:

$$|\alpha|, |\beta|, |\alpha - \beta| \ge \frac{H(f)}{10^{10}}.$$

*Proof.* Lemma 32 produces an  $\alpha$  with  $f(\alpha)=0$  and  $|\alpha|>\frac{H(f)}{100}$ . Now, if for all  $\rho$  such that  $f(\rho)=0$ , either  $|\alpha-\rho|<\frac{|\alpha|}{100}$  or  $|\rho|<\frac{|\alpha|}{100}$ , then, writing  $k:=\#|\{\rho:f(\rho)=0,|\alpha-\rho|<\frac{|\alpha|}{100}\}|\geq 1$ , we would have that

$$0 = \sum_{f(\rho)=0} \rho = k\alpha + \sum_{f(\rho)=0, |\alpha-\rho| < \frac{|\alpha|}{100}} \rho - \alpha + \sum_{f(\rho)=0, |\rho| < \frac{|\alpha|}{100}} \rho,$$

and the first term dominates in size, a contradiction. Thus there is a root  $\beta$  such that  $|\beta| > \frac{|\alpha|}{100}$  and  $|\alpha - \beta| > \frac{|\alpha|}{100}$ , as desired.

Now take  $\alpha_*$ ,  $\beta_*$  as in Lemma 33.

Now, we will only ever apply our lower bound on  $\hat{\lambda}_{\infty}$  to points of the form  $\kappa(P)$  or  $\kappa(P-Q)$  for  $P \neq \pm Q \in C_f(\mathbb{Q})$ . For these points, we note that

$$\ell_{\rho}(0,1,x,x^2) = x - \rho,$$

and

$$\ell_{\rho}\left(1, X+x, Xx, \frac{2a_5+a_4(X+x)+2a_3Xx+a_2Xx(X+x)+X^2x^2(X+x)+2Yy}{(X-x)^2}\right) = (X-\rho)(x-\rho).$$

Thus,

$$|\ell_{\alpha_*}(0,1,x,x^2) - \ell_{\beta_*}(0,1,x,x^2)| = |\alpha_* - \beta_*| \gg H(f).$$

This implies (using Lemma 30) that:

**Corollary 34.** *Let*  $P \in C_f(\mathbb{Q})$ *. Then:* 

$$\hat{\lambda}_{\infty}(\tilde{P}) \ge \max(\frac{1}{2}\lambda_{\infty}(P), h(f)) + \frac{5}{12}\log|\Delta_f| - 9h(f) - O(\delta h(f)).$$

(We have used the simple bound  $|x - \rho| \gg |x|$  if  $|x| \gg \delta^{-1}H(f)$  and  $\rho$  is a root of f.) Similarly, for  $\hat{\lambda}_{\infty}(P - Q)$ , we get (using Corollary 31):

**Corollary 35.** Let  $P \neq \pm Q \in C_f(\mathbb{Q})$  be such that x(P) and x(Q) are closest to the same element of  $\{\alpha_*, \beta_*\}$  and such that the coset  $\Psi_f^{-1}(P-Q) \subseteq \mathbb{C}^2$  of  $\mathbb{Z}^2 + \tau_f \cdot \mathbb{Z}^2$  contains an element  $A + \tau_f \cdot B$  with  $||A||, ||B|| \ll \epsilon$ . Then:

$$\hat{\lambda}_{\infty}(P-Q) \ge \frac{1}{4}\log|\Delta_f| - 2h(f) - O(\epsilon h(f)).$$

*Proof.* Note that, in general,  $\max(|x - \alpha_*|, |x - \beta_*|) \gg H(f)$  (since  $H(f) \ll |\alpha_* - \beta_*| \le |x - \alpha_*| + |x - \beta_*|$ ). Without loss of generality, let us suppose that  $\beta_*$  is the closest of  $\{\alpha_*, \beta_*\}$  to both x(P) =: X and x(Q) =: x. Since

$$\ell_{\alpha_*}\left(1, X+x, Xx, \frac{2a_5+a_4(X+x)+2a_3Xx+a_2Xx(X+x)+X^2x^2(X+x)-2Yy}{(X-x)^2}\right) = (X-\alpha_*)(x-\alpha_*) \gg H(f)^2,$$

the result follows from Corollary 31.

Having completed our analysis of  $\hat{\lambda}_{\infty}$ , let us return to the postponed analysis of  $\hat{h}(P-Q)$  for points without an unusually large x-coordinate.

5.2.4. Lower bounds on  $\hat{h}(P-Q)$ . Next we will lower bound, for P and Q non-small points,  $\hat{h}(P-Q)$ . Since our lower bound on the height of a non-small point is so large, we will not need to do any delicate analysis for the lower bound (much like the upper bound). The only difficulty will be in guaranteeing that Corollary 35 is applicable if the points do not have big x-coordinates. To do this we will, of course, introduce a further partition of our points.

But first, let us finish off the case of points with large x-coordinate.

**Lemma 36.** Let  $P \neq \pm Q \in \Pi_f$  be such that  $|x(P)|, |x(Q)| \gg \delta^{-\delta^{-1}} H(f)$  and  $y(P), y(Q) \geq 0$ . Then:

$$\hat{h}(P-Q) \ge \frac{1}{2}h_K(P) + \frac{1}{2}h_K(Q) - \frac{17}{3}h(f) - O(\delta h(f)).$$

*Proof.* Write  $x(P) =: X =: \frac{S}{D^2}$  and  $x(Q) =: x =: \frac{s}{d^2}$ , both in lowest terms, so that we are analyzing the point

$$\left(1, X+x, Xx, \frac{2a_5+a_4(X+x)+2a_3Xx+a_2Xx(X+x)+X^2x^2(X+x)+2Yy}{(X-x)^2}\right).$$

Recall that we have already lower bounded  $\hat{\lambda}_{\infty}(P-Q)$  — namely, Lemma 26 tells us that:

$$\hat{\lambda}_{\infty}(P-Q) \ge \frac{1}{2}\lambda_{\infty}(\kappa(P)) + \frac{1}{2}\lambda_{\infty}(\kappa(Q)) + h(f) - O(\delta h(f)).$$

To lower bound  $\hat{\lambda}_p(P-Q)$ , we will simply lower bound  $\lambda_p(P-Q)$  and apply Corollary 13. To lower bound

$$\lambda_p(P-Q) := \log p \cdot \max_i (-v_p(\kappa(P-Q)_i)),$$

we first observe that, of course, since the first coordinate is 1, it follows that  $\lambda_p(P-Q) \geq 0$  always. Next, if  $\max(-v_p(X), -v_p(x)) > 0$ , it follows (by breaking into cases based on whether or not both are positive) that

$$\max(-v_n(X+x), -v_n(Xx)) \ge 2v_n(D) + 2v_n(d).$$

Hence we have found that

$$\sum_{p} \lambda_{p}(P - Q) \ge 2\log|d| + 2\log|D|,$$

which is to say that

$$\sum_{p} \lambda_{p}(P - Q) \ge \sum_{p} \frac{1}{2} \lambda_{p}(\kappa(P)) + \frac{1}{2} \lambda_{p}(\kappa(Q)).$$

Applying Stoll [20] (i.e. Corollary 13), we find that

$$\sum_{p} \hat{\lambda}_{p}(P-Q) \ge \sum_{p} \frac{1}{2} \lambda_{p}(\kappa(P)) + \frac{1}{2} \lambda_{p}(\kappa(Q)) - \frac{1}{3} \log |\Delta_{f}|.$$

Combining this with the lower bound on  $\hat{\lambda}_{\infty}(P-Q)$  and the fact that  $|\Delta_f|\ll H(f)^{20}$  gives the claim.

Write

$$\mathcal{G} := \left[ -\frac{1}{2}, \frac{1}{2} \right]^{\times 2} + \tau_f \cdot \left[ -\frac{1}{2}, \frac{1}{2} \right]^{\times 2}.$$

Write

$$\mathcal{G}^{(i_1,...,i_4)} := \left( \left[ \frac{i_1}{2N}, \frac{i_1+1}{2N} \right] \times \left[ \frac{i_2}{2N}, \frac{i_2+1}{2N} \right] \right) + \tau_f \cdot \left( \left[ \frac{i_3}{2N}, \frac{i_3+1}{2N} \right] \times \left[ \frac{i_4}{2N}, \frac{i_4+1}{2N} \right] \right),$$

where  $N \simeq \delta^{-1}$  (thus this is a partition into O(1) parts, since  $\delta \gg 1$ ). Note that

$$\mathcal{G} = \bigcup_{i_1 = -N}^{N} \bigcup_{i_2 = -N}^{N} \bigcup_{i_3 = -N}^{N} \bigcup_{i_4 = -N}^{N} \mathcal{G}^{(i_1, i_2, i_3, i_4)}.$$

Now let

$$Z:J_f(\mathbb{C})\to\mathcal{G}$$

be a set-theoretic section (observe that the map  $\mathcal{G} \to \mathbb{C}^2/(\mathbb{Z}^2 + \tau_f \cdot \mathbb{Z}^2) \simeq J_f(\mathbb{C})$  is surjective). Next let

$$\Pi_f^{(i_1,i_2,i_3,i_4)} := \Pi_f \cap Z^{-1}(\mathcal{G}^{(i_1,i_2,i_3,i_4)}).$$

(Similarly with decorations such as  $\uparrow, \downarrow, \bullet$  added, and for  $\mathrm{III}_f^{(i_1,\dots,i_4)}$ .) Thus if  $P,Q\in \mathrm{II}_f^{(i_1,i_2,i_3,i_4)}$ , we have that

$$Z(P) - Z(Q) = A + \tau_f \cdot B$$

with

$$||A||, ||B|| \ll \delta.$$

Finally, recall (via Lemma 33) that we chose two roots  $\alpha_*$ ,  $\beta_*$  of f such that

$$|\alpha_*|, |\beta_*|, |\alpha_* - \beta_*| \gg H(f).$$

So let

$$\Pi_f^{\alpha_*} := \{ P \in \Pi_f : |x(P) - \alpha_*| \le |x(P) - \beta_*| \}$$

and, similarly,

$$\Pi_f^{\beta_*} := \{ P \in \Pi_f : |x(P) - \beta_*| \le |x(P) - \alpha_*| \}.$$

That is,  $\Pi_f^{\alpha_*}$  is the set of points of  $\Pi_f$  whose x-coordinates are closest to  $\alpha_*$ , and similarly for  $\Pi_f^{\beta_*}$ . We similarly define  $\Pi_f^{\alpha_*}$  and  $\Pi_f^{\beta_*}$ . Finally, for  $\rho \in \{\alpha_*, \beta_*\}$ , define

$$\Pi_f^{(i_1,i_2,i_3,i_4),\rho} := \Pi_f^{(i_1,i_2,i_3,i_4)} \cap \Pi_f^{\rho},$$

and similarly for  $III_f$ , and all other decorations.

Having suitably refined our partition, let us now deal with points whose x-coordinate is not so large.

**Lemma 37.** Let  $\rho \in \{\alpha_*, \beta_*\}$ . Let  $P \neq \pm Q \in \Pi_f^{(i_1, i_2, i_3, i_4), \rho}$  be such that  $|x(P)|, |x(Q)| \ll \delta^{-\delta^{-1}} H(f)$ . Then:

$$\hat{h}(P-Q) \ge \frac{1}{2}h_K(P) + \frac{1}{2}h_K(Q) - \frac{17}{3}h(f) - O(\delta h(f)).$$

Of course the same result holds for  $\mathrm{III}_f^{(i_1,\ldots,i_4),\rho}$  as well.

*Proof.* The argument is precisely the same as for Lemma 36, except that for the lower bound on  $\hat{\lambda}_{\infty}$  we use Corollary 35 (— this is where we use the partition), and we note that

$$\sum_{p} \frac{1}{2} \lambda_{p}(\kappa(P)) + \frac{1}{2} \lambda_{p}(\kappa(Q)) \ge \frac{1}{2} h_{K}(P) + \frac{1}{2} h_{K}(Q) - 2h(f).$$

Thus we find that:

$$\hat{h}(P-Q) \ge \frac{1}{2}h_K(P) + \frac{1}{2}h_K(Q) + \frac{1}{4}\log|\Delta_f| - 4h(f) - \frac{1}{3}\log|\Delta_f| + O(\delta h(f))$$

$$= \frac{1}{2}h_K(P) + \frac{1}{2}h_K(Q) - \frac{17}{3}h(f) + O(\delta h(f))$$

as desired. ✓

Now that we have adequately (thanks to our strong lower bounds on the heights of medium points) lower bounded the canonical height of a difference, we may finally prove the claimed gap principles. To do so we will need some final preparatory work to ensure the points we consider are indeed suitably close (so that they may be seen to repulse). That is to say, we will have to ensure that their canonical heights are comparable — note that, at the moment, we may only a priori ensure that their *naïve* heights are comparable. But it turns out we have done enough to guarantee the former as well.

5.2.5. Partitioning based on the size of  $\hat{h}$ . The following shows that we may now guarantee that  $h_K$  and  $\hat{h}$  are within a multiplicative constant in our range.

**Lemma 38.** Let  $P \in C_f(\mathbb{Q}) - I_f$ . Then:

$$\hat{h}(P) \simeq h_K(P).$$

Proof. Write

$$\hat{h}(P) = h_K(P) + \left[\hat{\lambda}_{\infty}(\kappa(P)) - \lambda_{\infty}(\kappa(P))\right] + \sum_{p} \left[\hat{\lambda}_{p}(\kappa(P)) - \lambda_{p}(\kappa(P))\right].$$

By Corollary 13,

$$\sum_{p} |\hat{\lambda}_p(\kappa(P)) - \lambda_p(\kappa(P))| \le \frac{1}{3} \log |\Delta_f| + O(1) \le \frac{20}{3} h(f) + O(1).$$

For the upper bound, note that, from the doubling formulas, evidently

$$\lambda_{\infty}(2R) - 4\lambda_{\infty}(R) < 12h(f) + O(1),$$

so that 16

$$\hat{\lambda}_{\infty}(\kappa(P)) - \lambda_{\infty}(\kappa(P)) \le 4h(f) + O(1),$$

and hence

$$\hat{h}(P) < h_K(P) + O(h(f)),$$

which is enough since  $h(P) \gg h(f)$  since P is not small.

The lower bound is a bit more difficult, and for it we will break into cases.

If  $|x(P)| > \delta^{-\delta^{-1}}H(f)$ , then  $h(P) \geq (c_{\uparrow} - \delta)h(f) = \left(\frac{25}{3} - \delta\right)h(f)$ , and thus  $h_K(P) = 2h(P) > \left(\frac{50}{3} - 2\delta\right)h(f)$ . Moreover we have seen (Lemma 25) that

$$\hat{\lambda}_{\infty}(\kappa(P)) \ge \lambda_{\infty}(\kappa(P)) - O(\delta h(f)).$$

Therefore we find that, in this case,

$$\hat{h}(P) \ge h_K(P) - \frac{20}{3}h(f) - O(\delta h(f)).$$

 $<sup>^{16} {\</sup>rm In}$  fact it is rather easy to get that, for  $R \in K_f(\mathbb{Q}), \ \hat{\lambda}_{\infty}(R) - \lambda_{\infty}(R) \le 3h(f) + O(\epsilon h(f))$  by following the same analysis done in Lemma 9. Indeed, one finds that  $|(2^NR)_i| \ll \max_{\alpha_1 + \dots + \alpha_4 = 4^N} H(f)^{4^{N+1} - 4 + i - \alpha_1 - \dots - 4\alpha_4} H_K(P)^{4^N}$  and concludes in the same way. Note that this argument gives a bound of  $\hat{\lambda}_{\infty}(\kappa(P)) - \lambda_{\infty}(\kappa(P)) \le 2h(f) + O(\epsilon h(f))$ , since  $\kappa(P)_1 = 0$ , and so  $\alpha_1 = 0$  is forced (whence the maximum is achieved at  $\alpha_2 = 4^N$ , rather than  $\alpha_1 = 4^N$ ).

Since  $h_K(P) > \left(\frac{50}{3} - \delta\right) h(f)$  this is enough. If  $|x(P)| < \delta^{-\delta^{-1}} H(f)$ , then  $h_K(P) \geq 2(c_{\downarrow} - \delta) h(f) = (16 - 2\delta) h(f)$ . Also since  $\lambda_{\infty}(\kappa(P)) \geq \lambda_{\infty}(\kappa(P)) + \frac{5}{12} \log |\Delta_f| - 9h(f) - O(\delta h(f))$  by Corollary 34, we may follow the argument in the

$$\hat{h}(P) \ge h_K(P) + \frac{5}{12} \log |\Delta_f| - 10h(f) - \frac{1}{3} \log |\Delta_f| - O(\delta h(f))$$

$$= h_K(P) + \frac{1}{12} \log |\Delta_f| - 10h(f) - O(\delta h(f))$$

$$\ge h_K(P) - 10h(f) + O(\delta h(f))$$

 $\checkmark$ 

which is again enough. This completes the argument.

Thus Lemma 38 furnishes us with constants  $\mu, \nu$  with  $\mu \approx 1, \nu \approx \delta^{-\delta^{-1}}$  such that, for all  $P \in \Pi_f$ , we have that both  $\hat{h}(P) \in [\mu^{-1}h_K(P), \mu h_K(P)]$ , and  $h_K(P) \in [\nu^{-1}h(f), \nu h(f)]$ . In order to break into points with very (multiplicatively) close heights (and canonical heights, since a priori they may still be wildly different), we will partition as follows. Note that this is precisely the situation in which we have a chance of seeing a repulsion phenomenon — our points from now on will be close in size in the Mordell-Weil lattice.

Note that

$$[\mu^{-1}, \mu] \subseteq \bigcup_{i=-O(\delta^{-1})}^{O(\delta^{-1})} [(1+\delta)^i, (1+\delta)^{i+1}],$$

and similarly for  $[\nu^{-1}, \nu]$  (except with the bounds on the union changed to  $O(\delta^{-2} \log \delta^{-1})$ ). Define the following partition of  $II_f$  into  $\delta^{-O(1)}$  many pieces:

$$\Pi_f^{[i,j]} := \{P \in \Pi_f : \hat{h}(P) \in [(1+\delta)^i h_K(P), (1+\delta)^{i+1} h_K(P)] \text{ and } h_K(P) \in [(1+\delta)^j h(f), (1+\delta)^{j+1} h(f)]\},$$

and similarly with all other decorations added — e.g.,

$$\Pi_f^{\uparrow,(i_1,i_2,i_3,i_4),\rho,[i,j]} := \Pi_f^{\uparrow,(i_1,i_2,i_3,i_4),\rho} \cap \Pi_f^{[i,j]}.$$

We also define  $\mathrm{III}_f^{[[i]]}$ , etc. (thus also e.g.  $\mathrm{III}_f^{\bullet,(i_1,\ldots,i_4),\rho,[[i]]}$ ) in a similar way, except without the second condition — that is, we only impose that  $\hat{h}(P) \in [(1+\delta)^i h_K(P), (1+\delta)^{i+1} h_K(P)].$ 

Note that, by construction, if  $P, Q \in \Pi_f^{[i,j]}$ , then

$$\left|\frac{h_K(P)}{h_K(Q)} - 1\right|, \left|\frac{\hat{h}(P)}{\hat{h}(Q)} - 1\right| \ll \delta.$$

This will allow us to replace e.g.  $h_K(Q)$  by  $h_K(P)$  (and the same for  $\hat{h}$ ) in the expression for  $\cos \theta_{P,Q}$  without incurring a nontrivial error. Having defined this partition, let us now finally prove the promised gap principles for  $\tilde{h}$ .

5.2.6. The explicit gap principles via switching. Let us now, finally, prove the gap principles. First, we will deal with the case of points with large *x*-coordinate.

**Lemma 39.** Let  $P \neq \pm Q \in \Pi_f^{\uparrow,(i_1,\ldots,i_4),\rho,[i,j]}$  and such that  $y(P),y(Q) \geq 0$ . Then:

$$\cos \theta_{P,Q} \le \frac{39}{59} + O(\delta) \le 0.6334.$$

 $\checkmark$ 

*Proof.* We break into cases based on whether  $\hat{h}(P) \geq h_K(P) - \frac{5}{3}h(f)$  or not. If  $\hat{h}(P) \geq h_K(P) - \frac{5}{3}h(f)$ , then we use the formula

$$\cos \theta_{P,Q} = \frac{\hat{h}(P+Q) - \hat{h}(P) - \hat{h}(Q)}{2\sqrt{\hat{h}(P)\hat{h}(Q)}}.$$

Now since  $\hat{h}(Q) = \hat{h}(P) \cdot (1 + O(\delta))$ , we find that:

$$\cos \theta_{P,Q} \le \frac{\hat{h}(P+Q)}{2\hat{h}(P)} - 1 - O(\delta).$$

We now apply our hypothesis for this case, namely that  $\hat{h}(P) \ge h_K(P) - \frac{5}{3}h(f)$ , to find that

$$\cos \theta_{P,Q} \le \frac{\hat{h}(P+Q)}{2h_K(P) - \frac{10}{3}h(f)} - 1 - O(\delta).$$

Now we apply Lemma 10 and the fact that  $h_K(Q) = h_K(P) \cdot (1 + O(\delta))$  to find that

$$\cos \theta_{P,Q} \le \frac{1}{2} + \frac{6h(f)}{3h_K(P) - 5h(f)} + O(\delta).$$

Since  $h_K(P) \ge \frac{50}{3}h(f) - O(\delta h(f))$ , we find that

$$\cos \theta_{P,Q} \le \frac{19}{30} + O(\delta),$$

finishing this case.

Thus we are left with the case of  $\hat{h}(P) < h_K(P) - \frac{5}{3}h(f)$ , for which we use the formula

$$\cos\theta_{P,Q} = \frac{\hat{h}(P) + \hat{h}(Q) - \hat{h}(P - Q)}{2\sqrt{\hat{h}(P)\hat{h}(Q)}}.$$

Again this is simply

$$\cos \theta_{P,Q} \le 1 - \frac{\hat{h}(P-Q)}{2\hat{h}(P)} + O(\delta),$$

and now we use our hypothesis to get that

$$\cos \theta_{P,Q} \le 1 - \frac{\hat{h}(P-Q)}{2h_K(P) - \frac{10}{2}h(f)}.$$

But now Lemma 36 tells us that therefore

$$\cos\theta_{P,Q} \leq \frac{1}{2} + \frac{6h(f)}{3h_K(P) - 5h(f)} + O(\delta),$$

which is the same expression we got in the previous case, QED.

Now let us prove the gap principle for points whose *x*-coordinate is not so large.

**Lemma 40.** Let  $P \neq \pm Q \in \Pi_f^{(i_1, i_2, i_3, i_4), \rho, [i, j]}$  be such that  $|x(P)|, |x(Q)| \ll \delta^{-\delta^{-1}} H(f)$ . Then:

$$\cos \theta_{P,Q} \le \frac{64}{95} + O(\delta) \le 0.6737.$$

*Proof.* The proof is the same, except instead we use Lemmas 9 and 37, and we split into cases based on whether  $\hat{P} \ge h_K(P) - \frac{1}{6}h(f)$  or not. In both cases we get that

$$\cos \theta_{P,Q} \le \frac{1}{2} + \frac{33h(f)}{12h_K(P) - 2h(f)} + O(\delta).$$

Using  $h_K(P) \ge 16h(f) - O(\delta h(f))$  gives the claim.

Thus we have proved our desired gap principles.

5.2.7. Concluding via the sphere-packing argument. We will need a small sphere-packing preliminary, as used in Helfgott-Venkatesh [9]. To establish the result we will use the following theorem of Kabatiansky-Levenshtein [11]:

**Theorem 41** (Kabatiansky-Levenshtein [11]). Let  $A \subseteq S^{n-1} \subseteq \mathbb{R}^n$  be such that for all  $v \neq w \in A$ ,  $\cos \theta_{v,w} \leq \eta$ .

Then:

$$\begin{split} \#|A| \ll \exp\left(n \cdot \left[ \left(\frac{1 + \sin(\arccos(\eta))}{2\sin(\arccos(\eta))}\right) \log\left(\frac{1 + \sin(\arccos(\eta))}{2\sin(\arccos(\eta))}\right) \right. \\ \left. - \left(\frac{1 - \sin(\arccos(\eta))}{2\sin(\arccos(\eta))}\right) \log\left(\frac{1 - \sin(\arccos(\eta))}{2\sin(\arccos(\eta))}\right) \right] \right). \end{split}$$

From this we will derive the following immediate corollary.

**Corollary 42.** Let  $A \subseteq \mathbb{R}^n$  be such that for all  $v \neq w \in A$ ,

$$\cos \theta_{v,w} \leq \eta$$
.

Then:

$$\#|A| \ll \exp\left(n \cdot \left[ \left(\frac{1 + \sin(\arccos(\eta))}{2\sin(\arccos(\eta))}\right) \log\left(\frac{1 + \sin(\arccos(\eta))}{2\sin(\arccos(\eta))}\right) - \left(\frac{1 - \sin(\arccos(\eta))}{2\sin(\arccos(\eta))}\right) \log\left(\frac{1 - \sin(\arccos(\eta))}{2\sin(\arccos(\eta))}\right) \right] \right).$$

*Proof.* Without loss of generality,  $0 \not\in A$  (since removing one element does not affect the bound) and  $\eta \neq 1$  (since otherwise the right-hand side is infinite). The map  $\varphi: A \to S^{n-1}$  via  $v \mapsto \frac{v}{|v|}$  is then injective, since otherwise if  $v \neq w \in A$  were to map to the same point, then it would be the case that  $\cos \theta_{v,w} = \left\langle \frac{v}{|v|}, \frac{w}{|w|} \right\rangle = 1 > \eta$ , a contradiction. Now just apply Theorem 41 to  $\varphi(A)$ .  $\checkmark$ 

From this we may immediately bound the number of medium points on our curves.

### Lemma 43.

$$\#|\mathrm{II}_f| \ll 1.645^{\mathrm{rank}(J_f(\mathbb{Q}))}$$

*Proof.* We may assume without loss of generality that, for all  $P \in II_f$ ,  $y(P) \ge 0.17$  Since

$$\Pi_f = \bigcup_{\rho \in \{\alpha_*, \beta_*\}} \bigcup_{? \in \{\uparrow, \bullet, \downarrow\}}^{O(\delta^{-1})} \bigcup_{i_1 = 0}^{O(\delta^{-1})} \cdots \bigcup_{i_4 = 0}^{O(\delta^{-1})} \bigcup_{i = -O(\delta^{-1})}^{\delta^{-O(1)}} \bigcup_{j = -\delta^{-O(1)}}^{f^{?,(i_1, \dots, i_4), \rho, [i, j]}}$$

is a partition into  $\delta^{-O(1)}=O(1)$  parts, it suffices to prove this bound for each of the parts of the partition. But for each  $P\neq Q\in \Pi_f^{?,(i_1,\ldots,i_4),\rho,[i,j]}$  (the bound is trivial when Q=-P), we have proven that  $\cos\theta_{P,Q}\leq 0.6737$  (and in fact the bound is even a bit better when  $?=\uparrow$ ). It follows then from Corollary 42 that

$$\#|\Pi_f^{?,(i_1,\ldots,i_4),\rho,[i,j]}| \ll 1.645^{\operatorname{rank}(J_f(\mathbb{Q}))},$$

as desired. ✓

This completes the medium point analysis!

 $<sup>^{17}</sup>$ Of course this is not literally true, but the resulting bound will only be worsened by a factor of 2 to make up for this assumption.

5.3. **Large points.** Given all our gap principles above, the large point analysis is in fact quite quick. We recall the theorem of Bombieri-Vojta (with explicit determination of implicit constants (absolutely crucial for this work!) done by Bombieri-Granville-Pintz) once again:

**Theorem 44** (Bombieri-Vojta, Bombieri-Granville-Pintz). Let  $\delta > 0$ . Let  $f \in \mathbb{Z}[x]$  be a monic polynomial of degree  $2g+1 \geq 5$  with no repeated roots, and let  $C_f: y^2 = f(x)$ . Let  $\alpha \in \left(\frac{1}{\sqrt{g}}, 1\right)$ . Let  $P \neq Q \in C_f(\mathbb{Q})$  be such that  $\hat{h}(P) \geq \delta^{-\frac{1}{2}} \hat{h}(Q) \geq \delta^{-1} h(f)$ . Then, once  $\delta \ll_{\alpha} 1$ , we have that:

$$\cos \theta_{P,Q} \le \alpha.$$

Given this, the going is quite easy. The only thing to note is the following improvement on the above gap principles via the largeness of our points.

**Lemma 45.** Let  $P \neq \pm Q \in \text{III}_f^{\uparrow,(i_1,\ldots,i_4),\rho,[[i]]}$  be such that  $\hat{h}(P) = \hat{h}(Q) \cdot (1 + O(\delta))$  and  $y(P),y(Q) \geq 0$ . Then:

$$\cos \theta_{P,Q} \le \frac{1}{2} + O(\delta).$$

*Proof.* The proof is the same as the argument in Lemma 39, except that the term  $\frac{6h(f)}{3h_K(P)-5h(f)} \ll \delta$ .

Similarly, of course, for points without a large *x*-coordinate.

**Lemma 46.** Let  $P \neq \pm Q \in \text{III}_{f}^{\bullet,(i_{1},...,i_{4}),\rho,[[i]]} \cup \text{III}_{f}^{\downarrow,(i_{1},...,i_{4}),\rho,[[i]]}$  be such that  $\hat{h}(P) = \hat{h}(Q) \cdot (1 + O(\delta))$ . Then:

$$\cos \theta_{P,Q} \le \frac{1}{2} + O(\delta).$$

*Proof.* Again, the proof is the same as the argument in Lemma 40, except now the term  $\frac{33h(f)}{12h_K(P)-2h(f)} \ll \delta$ .

Having done this, we may finish the proof of Theorem 1.<sup>18</sup>

# Lemma 47.

$$\#|\mathrm{III}_f| \ll 1.888^{\mathrm{rank}(J_f(\mathbb{Q}))}$$

*Proof.* We may assume without loss of generality that, for all  $P \in \mathrm{III}_f$ ,  $y(P) \geq 0$ . Let  $\alpha := \frac{3}{4} + \delta^{\frac{1}{2}}$ . Note that Theorem 44 applies to  $\alpha$  since  $\frac{1}{\sqrt{2}} < 0.7072$ . Let  $S \subseteq \mathrm{III}_f$  be maximal such that: for all  $P \neq Q \in S$ , we have that

$$\cos \theta_{P,Q} \le \alpha.$$

Of course, by Kabatiansky-Levenshtein (Corollary 42), once  $\delta \ll 1$ , it follows that

$$\#|S| \ll 1.888^{\operatorname{rank}(J_f(\mathbb{Q}))}$$
.

Thus it suffices to show that

$$\#|\mathrm{III}_f| \ll \#|S|.$$

Now observe that, by maximality, for all  $P \in III_f$ , there is a  $Q \in S$  such that

$$\cos \theta_{P,Q} > \alpha$$
.

 $<sup>^{18}</sup>$ Here we give the argument obtaining the bound  $\ll 1.888^{\mathrm{rank}(J_f(\mathbb{Q}))}$ , despite writing above that we get  $\ll 1.872^{\mathrm{rank}(J_f(\mathbb{Q}))}$ , because it has a pretty endgame. Lemma 48 has the proof of the slightly better bound.

 $<sup>^{19}</sup>$ Again, this is not literally true, but the resulting bound will only worsen by a factor of 2 since these are at least half of all the points in III<sub>f</sub>.

Of course by Theorem 44 it follows that  $\hat{h}(P) \approx \hat{h}(Q)$ . Thus, it follows that:

$$\mathrm{III}_f = \bigcup_{Q \in S} \bigcup_{|k| \ll \delta^{-O(1)}} \mathrm{III}_f^{(Q,k)},$$

where

$$III_f^{(Q,k)} := \{ P \in III_f : \cos \theta_{P,Q} > \alpha, \frac{\hat{h}(P)}{\hat{h}(Q)} \in [(1+\delta)^k, (1+\delta)^{k+1}] \}.$$

Since this partition is into  $\ll \delta^{-O(1)} \# |S|$  parts, it suffices to show that each  $\# |\mathrm{III}_f^{(Q,k)}| \ll 1$ . As usual, we may restrict further to

$$\mathrm{III}_f^{?,(Q,k),(i_1,\ldots,i_4),\rho,[[i]]} := \mathrm{III}_f^{(Q,k)} \cap \mathrm{III}_f^{?,(i_1,\ldots,i_4),\rho,[[i]]},$$

since this only worsens our bound by a factor of O(1).

To do this we will first show that, after removing at most one element from  $\mathrm{III}_f^{?,(Q,k),(i_1,\ldots,i_4),\rho,[[i]]}$ , for each remaining  $P\neq P'\in\mathrm{III}_f^{?,(Q,k),(i_1,\ldots,i_4),\rho,[[i]]}$ , we in fact have that

$$\cos \theta_{P,P'} \le -\Omega(\delta^{\frac{1}{2}}).$$

We show this as follows. For each  $P \in III_f^{?,(Q,k),(i_1,\ldots,i_4),\rho,[[i]]}$ , let  $v_P := \frac{P}{|P|} - \frac{Q}{|Q|}$ , where

$$\frac{R}{|R|} := \frac{1}{\sqrt{\hat{h}(R)}} \otimes R \in \mathbb{R} \otimes_{\mathbb{Z}} J_f(\mathbb{Q}).$$

Write

$$\langle R, R' \rangle := \frac{\hat{h}(R+R') - \hat{h}(R) - \hat{h}(R')}{2}$$

so that

$$\cos \theta_{R,R'} = \frac{\langle R, R' \rangle}{|R||R'|}.$$

Let  $R\in \mathrm{III}_f^{?,(Q,k),(i_1,\ldots,i_4),\rho,[[i]]}$  with  $|v_R|$  minimal. (Of course if  $\mathrm{III}_f^{?,(Q,k),(i_1,\ldots,i_4),\rho,[[i]]}$  is empty we are already done.) The claim is that, for all  $R\neq P\in \mathrm{III}_f^{?,(Q,k),(i_1,\ldots,i_4),\rho,[[i]]}$ , we have that  $|v_P|\gg 1$ . Either  $|v_R|\geq \frac{1}{2}-\delta^{\frac{1}{2}}$ , in which case we are done, or not. If both  $|v_R|,|v_P|<\frac{1}{2}-\delta^{\frac{1}{2}}$ , then

$$|v_P - v_R| \le |v_P| + |v_R| < 1 - 2\delta^{\frac{1}{2}}.$$

But we also have that

$$|v_P - v_R|^2 = \left| \frac{P}{|P|} - \frac{R}{|R|} \right|^2 = 2 - 2\cos\theta_{P,R}.$$

However, since both P and R are in  $III_f^{?,(Q,k),(i_1,\ldots,i_4),\rho,[[i]]}$ , by Lemmas 45 and 46, we find that

$$\cos \theta_{P,R} \le \frac{1}{2} + O(\delta),$$

whence

$$|v_P - v_R|^2 \ge 1 - O(\delta),$$

a contradiction. So, on removing R from  $\mathrm{III}_f^{?,(Q,k),(i_1,\ldots,i_4),\rho,[[i]]}$ , we have that all remaining  $|v_P|\gg 1$ .

 $\checkmark$ 

Now observe that, for  $P \neq P' \in \text{III}_f^{?,(Q,k),(i_1,\ldots,i_4),\rho,[[i]]} - \{R\}$ ,

$$\begin{aligned} |v_P||v_{P'}|\cos\theta_{v_P,v_{P'}} &= \langle v_P,v_{P'}\rangle \\ &= 1 - \cos\theta_{P,Q} - \cos\theta_{P',Q} + \cos\theta_{P,P'} \\ &< \cos\theta_{P,P'} - \frac{1}{2} - 2\delta^{\frac{1}{2}}. \end{aligned}$$

But since  $P \neq -P'$  (else the following claim is trivial anyway) and both are in  $\text{III}_f^{?,(Q,k),(i_1,\ldots,i_4),\rho,[[i]]}$ , again by Lemmas 45 and 46, we find that

$$\cos \theta_{P,P'} \le \frac{1}{2} + O(\delta).$$

Thus

$$|v_P||v_{P'}|\cos\theta_{v_P,v_{P'}} < -2\delta^{\frac{1}{2}} + O(\delta).$$

Since we have already established that  $|v_P|, |v_{P'}| \gg 1$  (and of course  $\delta \ll 1$ ), this gives the claim that  $\cos \theta_{P,P'} \leq -\Omega(\delta^{\frac{1}{2}})$ .

But it then follows that:

$$0 \leq \left| \sum_{P \in \Pi_{f}^{?,(Q,k),(i_{1},...,i_{4}),\rho,[[i]]} - \{R\}} \frac{P}{|P|} \right|^{2}$$

$$= \left( \# |\Pi_{f}^{?,(Q,k),(i_{1},...,i_{4}),\rho,[[i]]} - \{R\}| \right) + \sum_{P \neq P' \in \Pi_{f}^{?,(Q,k),(i_{1},...,i_{4}),\rho,[[i]]} - \{R\}} \cos \theta_{P,P'}$$

$$\leq \left( \# |\Pi_{f}^{?,(Q,k),(i_{1},...,i_{4}),\rho,[[i]]} - \{R\}| \right) - \Omega(\delta^{\frac{1}{2}}) \cdot \left( \# |\Pi_{f}^{?,(Q,k),(i_{1},...,i_{4}),\rho,[[i]]} - \{R\}| \right)^{2}.$$

Rearranging now gives the result.

5.4. **Conclusion of the proof.** Thus we have completed the proof of Theorem 1. Let us combine the ingredients to conclude.

*Proof of Theorem 1.* By Lemmas 7 and 8, we have seen that  $Avg(\#|I_f|) = 0$ . But, by Lemmas 43 and 47, we have also seen that

$$\#|\mathrm{II}_f \cup \mathrm{III}_f| \ll 1.888^{\mathrm{rank}(J_f(\mathbb{Q}))} \le 2^{\mathrm{rank}(J_f(\mathbb{Q}))} \le \#|\mathrm{Sel}_2(J_f)|.$$

Finally, by Theorem 4,  $\operatorname{Avg}(\#|\operatorname{Sel}_2(J_f)|) \ll 1$ . This concludes the proof!

## 6. OPTIMIZING THE BOUND ON THE NUMBER OF LARGE POINTS

We quickly note that, by optimizing the argument for large points, one gets the following (albeit with an uglier proof).

## Proposition 48.

$$\#|\mathrm{III}_f| \ll 1.872^{\mathrm{rank}(J_f(\mathbb{Q}))}$$
.

*Proof.* The argument is exactly the same as in Lemma 47 — and, indeed, explains the reason for the precision in the estimate  $|v_P| \geq \frac{1}{2} - \delta^{\frac{1}{2}}$  for all but at most one  $P \in \mathrm{III}_f^{(Q,k)}$ . The point is that one instead gets that, for all  $P \neq P' \in \mathrm{III}_f^{(Q,k)} - \{R\}$ ,  $\cos\theta_{v_P,v_{P'}} \leq \frac{\frac{3}{2}-2\alpha}{|v_P||v_{P'}|} + O(\delta^{\frac{1}{2}}) \leq 6-8\alpha+O(\delta^{\frac{1}{2}})$ . The optimal choice for  $\alpha$  — keeping in mind that  $\alpha > \frac{1}{\sqrt{2}}$  (and this is a crucial threshold in Vojta's method) — turns out to be  $\alpha \sim 0.7406!$  Now one uses Kabatiansky-Levenshtein to bound both S (where the repulsion bound is  $\cos\theta \leq \alpha + O(\delta^{\frac{1}{2}})$ , which results in a bound of  $\#|S| \leq 1.85149^{\mathrm{rank}(J_f(\mathbb{Q}))}$ ) and  $\mathrm{III}_f^{(Q,k)}$  (where the repulsion bound is  $\cos\theta \leq 6-8\alpha+O(\delta^{\frac{1}{2}})$ ,

which results in a bound of  $\#|\mathrm{III}_f^{(Q,k)}| \ll 1.01077^{\mathrm{rank}(J_f(\mathbb{Q}))}$ ), and multiplies these bounds together to conclude.

We further note that nowhere in our large point bounding did we use that we are in the special case of genus 2 — or even hyperelliptic — curves over  $\mathbb Q$ . In general one has the Mumford gap principle bound  $\cos\theta_{P,Q} \leq \frac{1}{g} + O(\delta)$  for  $P \neq Q \in C(\mathbb Q)$  with  $h(Q) = h(P) \cdot (1 + O(\delta)) \gg_g h(C)$ , where we define h(C) to e.g. be the height of the equations of the tricanonically embedded  $C \subseteq \mathbb P^{5g-6}$ . Moreover, Vojta's theorem (with Bombieri-Granville-Pintz's determination of implicit constants) still applies in this case. These being the only ingredients we used, we may quickly prove:

**Proposition 49.** Let  $C/\mathbb{Q}$  be a smooth projective curve of genus  $g \geq 2$ . Let  $\max\left(\frac{1}{\sqrt{g}}, \frac{1}{4} + \frac{3}{4g}\right) < \alpha < \frac{1}{2} + \frac{1}{2g}$ . Let  $\epsilon > 0$ . Then:

$$\#|\{P \in C(\mathbb{Q}): h(P) \gg_g h(C)\}| \ll M\left(\operatorname{rank}(J_f(\mathbb{Q})), \alpha + \epsilon\right) \cdot M\left(\operatorname{rank}(J_f(\mathbb{Q})), \frac{1 + \frac{1}{g} - 2\alpha}{\frac{1}{2} - \frac{1}{2g}} + \epsilon\right),$$

where

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$$M(n,\eta) := \max\{\#|S| : S \subseteq S^{n-1}, \forall v \neq w \in S, \cos\theta_{v,w} \leq \eta\}\}.$$

Note that, once  $g\gg 1$ , we may take  $\alpha\sim 0.4818$  and apply Kabatiansky-Levenshtein, obtaining the upper bound  $1.311^{\mathrm{rank}(J_f(\mathbb{Q}))}$  claimed in the abstract. (See the attached Mathematica document for the optimization.)

*Proof.* The argument is the same as in Lemma 48. We simply note that, for general  $g \geq 2$ , we get, for all but at most one  $P \in \mathrm{III}_f^{(Q,k)}$ ,  $|v_P| \geq \sqrt{\frac{1}{2} - \frac{1}{2g}} + O(\delta^{\frac{1}{2}})$ , and so  $\cos\theta_{v_P,v_{P'}} \leq \frac{1 + \frac{1}{g} - 2\alpha}{\frac{1}{2} - \frac{1}{2g}} + O(\delta^{\frac{1}{2}})$ . Note also that the condition that  $\alpha > \frac{1}{4} + \frac{3}{4g}$  is to ensure that this latter upper bound is at most 1. This completes the argument.

We leave the question of using these methods to bound  $\#|C_f(\mathbb{Q})|$  for *each* f to a forthcoming paper.

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